

THE CITY OF BIRMINGHAM, ALABAMA



DEPARTMENT OF COMMUNITY DEVELOPMENT

**CONSOLIDATED ANNUAL PERFORMANCE
AND EVALUATION REPORT (CAPER)**

**REPORT PERIOD:
PROGRAM YEAR 2015
(July 1, 2015 – June 30, 2016)**

**REPORT DATE:
SEPTEMBER 29, 2016**

EXECUTIVE SUMMARY & SUMMARY OF PROGRAM ACCOMPLISHMENTS PROGRAM YEAR 2015	4
Section A: What is a CAPER?.....	4
Section B: Federal Resources Made Available to the City of Birmingham	5
Section C: PY 2015 Action Plan Priorities and Objectives	6
Section D: HUD Formula Allocation Program Overview:.....	8
PART I – GENERAL QUESTIONS	211
Assessment of the one-year goals and objectives	21
Priority to Provide Decent and Affordable Housing for Low and Very Low-Income Households.....	22
Priority to Provide Housing and Services For Populations With Special Needs.....	23
Priority to Provide Housing and Supportive Services For Homeless Populations	24
Priority to Promote City Wide Economic Development	25
Describe the manner in which the City of Birmingham would change its program as a result of its experiences.....	27
Affirmatively Furthering Fair Housing.....	28
Describe Other Actions in the City’s Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs	33
Leveraging Resources	33
Managing the Process	34
Citizen Participation.....	36
Institutional Structure.....	38
Monitoring	39
Self Evaluation.....	43
Section 3 Compliance Summary.....	45
Section 3 Summary Form HUD-60002	45
Lead Based Paint.....	53
PART II – HOUSING.....	56
Actions Taken to Maintain Affordable Housing	56
Specific Housing Objectives.....	58
Public Housing Strategy	61
Barriers to Affordable Housing	62
HOME.....	63
Annual Performance Report –HOME Program	65
HUD Form-40107	65

PART III – HOMELESSNESS	66
Actions Taken to Address Homeless Needs	66
Specific Homeless Prevention Elements	71
Emergency Solutions Grants (ESG)	71
PART IV – COMMUNITY DEVELOPMENT	76
Assessment Of Relationship of CDBG Funds to Goals and Objectives.....	76
Anti-Poverty Strategy	82
Non-Homeless Special Needs.....	82
HOPWA Objectives.....	83
PART V – OTHER NARRATIVE	84
Public Participation Requirements.....	84
ADDENDUM.....	86
Addendum-A PY 2015 Summary of Accomplishments Report.....	87
Addendum-B PY 2015-2020 Consolidated Plan Priority Needs Summary Tables.....	89
Addendum-C Maps/Related Data	96
Addendum-D CDBG Financial Summary and Attachment.....	96
Addendum-E Housing Opportunities for Persons with AIDS (HOPWA) CAPER Report.....	97
Addendum-F 10-Year Plan to end Chronic Homelessness.....	98
Addendum-G PY 2015 ESG CAPER Subrecipient Report	105

Executive Summary & Summary of Program Accomplishments Program Year 2015

SECTION A: WHAT IS A CAPER?

The Consolidated Annual Performance and Evaluation Report (CAPER) is a locally created document that provides the jurisdiction an opportunity to evaluate its overall progress in carrying out priorities and specific objectives identified in its strategic plan and action plan, and to describe actions or changes contemplated as a result of its annual performance. The report is submitted annually for the period July 1 through June 30 which is referred to as a Program Year.

The City of Birmingham, Alabama (the City) is presently following a HUD approved five year Consolidated Plan which consolidates the planning and submission process for the following HUD-CPD formula programs:

- Community Development Block Grant Program (CDBG)
- HOME Investment Partnership Program (HOME)
- Housing Opportunities For Persons With AIDS Program (HOPWA) and the Emergency Solutions Grant Program (ESG). The Consolidated Plan contains a single five year strategic plan that brings needs and resources together in a coordinated housing and community development strategy.

The CAPER must include both a summary of programmatic accomplishments and an assessment of progress toward the priorities identified in the City's Consolidated Plan during the action plan program year identified above. To do so, the report is essentially composed of an executive summary, a series of general questions relating to overall program performance; narrative statements which provide the status of actions taken during the year to implement the City's overall strategy; and a self-evaluation which assesses progress made during the past year in addressing identified priority needs and objectives.

The report also consists of a series of reports that are produced through HUD's Integrated Disbursement and Information System (IDIS). These reports are as follows:

- Summary of Accomplishments Report (CO4PR23): Presents data on the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) program activity counts and disbursements by priority need categories. It also contains data on CDBG accomplishments by various units of measure and housing units by racial/ethnic categories and HOME housing units by various income groups.
- Summary of Consolidated Plan Projects (CO4PR06): Tracks progress in implementing projects identified in the City's Action Plan. This report lists all projects for a plan year in sequence by project number. Disbursements are

summarized by program for each project's activities. Accomplishments reported for the program year are summarized for each program area.

- CDBG Financial Summary Report (CO4PR26): This report shows the obligations, expenditures which the City has made during the program year. The expenditures are summarized to determine the relevant indicators for low-and moderate-income, planning/administration, public service activities and economic development. This report contains program year information on statutory requirements regarding overall percentage for low-and moderate income benefit.

SECTION B: FEDERAL RESOURCES MADE AVAILABLE TO THE CITY OF BIRMINGHAM

In PY 2015, the City of Birmingham, Alabama received the following funding from the U.S. Department of Housing and Urban Development (HUD). The funding sources include both grant funding and program income received under the Community Development Block Grant (CDBG) Program, HOME Program, Emergency Solutions Grant (ESG) Program, and Housing Opportunities for Persons with AIDS (HOPWA) Program. The table below summarizes the amount of funding received from each funding source.

Funding Summary

Funding Source	Amount
CDBG	\$5,375,865.00
HOME	\$1,009,149.00
ESG – 2012	\$ 487,182.00
HOPWA	\$ 581,878.00
Sub-Total	\$7,454,074.00
CDBG Program Income	\$ 911,428.53
HOME Program Income	\$ 100,000.00
PI Sub-Total	\$1,011,428.53
TOTAL	\$8,465,502.53

SECTION C: PY 2015 ACTION PLAN PRIORITIES AND OBJECTIVES

The City's PY 2015-2020 Consolidated Plan contains a five-year Strategic Plan which sets forth the following areas of priority need.

1. Provide decent and affordable housing for low and very low-income households.
2. Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements.
3. Provide housing and services for populations with special needs
4. Provide housing and supportive services for homeless populations.
5. Promote city wide economic development.
6. Provide public facility/infrastructure activities.

Within these broad areas of priority need, during this reporting period the City has made considerable progress on the dual programmatic goals of:

- 1) Revitalizing low-moderate income neighborhoods through the provision of housing, economic opportunity, key services and infrastructure improvements while
- 2) Promoting citywide economic development
- 3) Providing a significant level of services to meet the basic needs of our citizens with the greatest needs, including the homeless, those with HIV/AIDS or with physical/mental disabilities and the very poor elderly.

Accordingly, in order to continue to seek these two broad goals, and implement a program within the areas of priority need identified within the City's five-year strategic plan, during PY 2015 the City gave priority consideration to projects/activities in the following areas:

Activities in Support of Revitalization of Neighborhoods and Communities

- 1) Construction or rehabilitation of housing for low-moderate income persons. These activities should be targeted and clustered in limited geographic areas. Rental housing that contributes to revitalization will be considered, but priority will be given to activities that support homeownership.
- 2) Provision of services in support of affordable housing, particularly to the provision of homeownership counseling, credit counseling and other services as needed.
- 3) Economic development activities in targeted geographic areas that create job opportunities for low-moderate income persons and/or provide needed services to adjacent low-moderate income neighborhoods.
- 4) Improvements to public facilities and/or public infrastructure in support of targeted housing or economic development activities.

- 5) Provision of public services that support housing and economic revitalization activities in specific targeted geographic areas.

Activities Meeting Basic Needs of Citizens with Greatest Needs

- 1) Housing rehabilitation and development for low-moderate income renters and homeowners with a particular emphasis on the very low income, the elderly, and persons with disabilities.
- 2) Public services in support of low income housing, including housing counseling, assistance to persons with disabilities, assistance to persons with HIV/AIDS, lead-based paint hazard assistance and similar services.
- 3) Provision of emergency shelter, transitional shelter and permanent housing, along with appropriate supportive services at these various levels for the homeless.
- 4) Provision of infrastructure, improvements, assistance to and/or services to provide economic opportunity for low-moderate income residents, including direct assistance and support to organizations that create opportunities and/or jobs for low-moderate income persons, or to organizations that provide essential services to persons in support of economic development, such as child care for working parents, transportation, etc.

Program Benefit

These priorities and objectives provided the framework for the development of the programs and activities undertaken during the reporting period that are summarized in the following pages. Funding was specifically targeted to individuals and families who meet low to moderate income guidelines and who reside within the City of Birmingham. Low and moderate income is defined as:

< 50% and < 80% of area median income, respectively.

In PY 2015, 96.09% of all listed funding was used to fund services which provided a low and moderate-income benefit.

SECTION D: HUD FORMULA ALLOCATION PROGRAM

OVERVIEW:

Each year, the City of Birmingham receives federal assistance, in the form of grant funding, from the U.S. Department of Housing and Urban Development (HUD) under five primary formula allocation programs. These programs are:

- The Community Development Block Grant Program (CDBG),
- The HOME Investment Partnership Program (HOME)
- The Emergency Solutions Grant Program (ESG); and
- The Housing Opportunities For Persons With AIDS Program (HOPWA)

These entitlement program funds are received by the City based upon formula allocation and conditioned upon the submission and approval of a Five Year Consolidated Plan and an annual submission and approval of a HUD Action Plan. Upon receipt, the funds are administered by the City's Department of Community Development and are utilized for a variety of purposes that meet the intent of the funding for each specific program. Services include, but are not limited to, public services, housing rehabilitation, new affordable housing construction, services to special needs populations, economic development activities, and program administration. The following is a summary of these programs.

Executive Summary

Community Development Block Grant (CDBG)

The Community Development Block Grant (CDBG) is an annual source of funding that supports a wide range of activities that preserve and develop urban communities. The program's principal beneficiaries are low and moderate-income households. The goals of the program are to provide decent, safe and sanitary housing, to provide a suitable living environment, and to expand economic opportunities.

CDBG funded activities must be eligible within the program statutes and meet one of the program's three National Objectives. The National Objectives are:

- 1.) To provide a benefit to low and moderate income persons;
- 2.) To prevent or eliminate slum or blight; and
- 3.) To meet an urgent community need that threatens the health or welfare of residents.

The statute further states that each grant recipient must ensure that at least 70 percent of its expenditures benefit low- and moderate-income persons. For PY 2015, the City of Birmingham's program exceeded this threshold with 96.09% of CDBG funding benefiting low- and moderate-income persons.

Listed in the accompanying sections is a summary of programs funded and their accomplishments. The programs are grouped within three categories – Affordable Housing Programs, Community and Economic Development, and Public Service Activities.

CDBG Affordable Housing Activity Summary

The City of Birmingham uses its CDBG Housing dollars primarily to assist low to very low income homeowners for housing rehabilitation. The principal program undertaken for this purpose was the provision of critical housing rehabilitation assistance through the City's Critical Repair Grant Program. This program provides grants of up to \$15,000 to assist low to moderate income qualifying homeowners to enable repairs to critical building systems, including roofs, HVAC, foundation and environmental issues such as sewer/water.

In addition, the City has continued its Deferred Payment Loan and Housing Rehabilitation Loan programs. These programs provide loans to elderly (62 years or older) and disabled low to moderate income homeowners for more comprehensive repairs to houses. The Deferred Payment Loan Program provides up to \$15,000 in the form of a non-amortizing loan to qualified elderly and handicapped homeowners, while the Rehabilitation Loan Program provides repayable low interest loans of up to \$40,000 to households making up to 80% of median family income. Additional rehabilitation was carried out through sub-recipients, including the Independent Living Center (d/b/a Disability Rights and Resources), World Changers Volunteer rehabilitation program, and others.

Specific program accomplishments are detailed in Part I of this report.

CDBG Community and Economic Development Activity Summary

CDBG regulations permit the expenditure of CDBG funds for economic development activities. Economic development activities support our over-all CDBG strategy by creating jobs, especially for persons of low-moderate income and by creating businesses and renovating buildings in declining neighborhood business districts. The following is a summary of ongoing activities the City has undertaken. Details are provided in Part I of this report.

REV Birmingham:

Through Main Street Birmingham (d/b/a REV Birmingham)'s SocialVenture program provides staff support to merchant groups in ninety-nine (99) different neighborhood business districts across the City. In addition to creation of jobs, REV complements neighborhood revitalization by facilitating catalytic development in neighborhoods cores in order to spur revitalization in once thriving communities like West End, Ensley, North Birmingham, Titusville, Woodlawn, and East Lake. It also provides spaces that act as incubators, work spaces, and meeting spaces for entrepreneurs and startup companies across the City.

Urban Impact, Inc.:

Urban Impact, a non-profit organization, is continuing its efforts to provide ongoing commercial development efforts initiated in the Historic Fourth Avenue Business District through technical assistance to established business and those wishing to locate within the area. Urban Impact also promotes and assists in increasing tourism through the coordination of public information and other activities in conjunction with the Civil rights Institute, Kelly Ingram Park, Alabama Jazz Hall of Fame and other area attractions.

CDBG Float Loan Program:

The City may use undisbursed funds in its line of credit and its CDBG program account that are budgeted in action plans for one or more other activities that do not need the funds immediately. Such funds are referred to as the "float" for purposes of this section and the action plan.

The City utilizes its "float" to provide support for Economic Development and Historic Preservation activities in the promotion of the stability of the entire community economic base and the business climate through the creation and retention of jobs for low and moderate income persons. The City does not decide on the specific location of the float funded activities to be undertaken at the beginning of each program year. For this reason and in accordance with 570.301(a) the City provides a description of its float loan program in its HUD Action Plan and identifies who may apply for the assistance, the process by which the grantee expects to select who will receive the assistance (including selection criteria), and how much and under what terms the assistance will be provided.

CDBG Public Service Activity Summary

The City's CDBG program allocates the full amount of our permitted cap of 15% of budgeted funds for public service activities. Public service activities are those concerned with employment, crime prevention, child care, health drug abuse treatment, education, fair housing counseling, energy conservation, and others. The CDBG regulations limit the funding of public service activities to no more than 15% of the CDBG Grant Amount plus 15% of program income received during the prior program year.

The City committed 13.64% of its CDBG funding to Public Service activities during this program year for the following types of activities: Citizen Participation and the support of programs administered by a variety of non-profit organizations. These activities included support of programs for the homeless, employment and housing assistance, children/youth development programs, senior citizen programs, and programs for those with special needs. Specific program accomplishments are detailed in Part I of this report.

CDBG FINANCIAL SUMMARY INFORMATION AND SUMMARY OF ACCOMPLISHMENTS

The City's overall CDBG expenditures by category and a summary of program accomplishments by project and activity are detailed in Addendum D-CDBG Financial Summary and Attachment and in Addendum A-PY 2015 CDBG Summary of Accomplishments of this report. This information is tracked quarterly and is generated through the HUD Integrated Disbursement and Information System (IDIS).

Executive Summary
Home Investment Partnership Program (HOME)

The purpose of the Home Investment Partnership Program (HOME) is to develop affordable housing. In PY 2015, the City had available for these purposes the current year's HOME grant award, in addition to program income. Listed below is a summary of the funds available.

HOME Program Funding Summary

PY 2015 HOME Award	\$1,009,149.00
PY 2015 Program Income	<u>\$ 100,000.00</u>
Total	\$1,109,149.00

In PY 2015, the City utilized these funds to support three (3) contracts which were initiated during this period for the purpose of building and rehabilitating affordable housing. These funds also supported three (3) ongoing contracts which had been executed in prior years and are still in the process of completion.

The table below summarizes the contracts which were active during PY 2015 and the amount of HOME funds invested in each project.

HOME Contracts

Development Type	Agreement Amount	# Units Financed
<u>Multi-Family:</u>		
Highland Manor Apartments	\$1,110,111.00	90
<i>(Development of up to 90 units in the Southside Neighborhood)</i>		
Tuxedo Park	\$420,042.00	42
<i>(Development of up to 42 units in the Ensley Neighborhood)</i>		
Wood Station	\$670,000.00	6
<i>(Development of up to 64 units in the Woodlawn Neighborhood)</i>		
<u>Single Family:</u>		
Habitat for Humanity	\$440,000.00	11
<i>(Development of Single Family Housing in the East Lake Neighborhood)</i>		
Oak Hills	\$300,000.00	5
<i>(Development of Single Family Housing in the Belview Heights Neighborhood)</i>		
BEAT	\$163,500.00	1
<i>(Development of a Single Family Unit in the Ensley Neighborhood)</i>		
Items in bold represent HOME projects initiated in PY 2015.		

HOME Investment Partnership Program Accomplishments

While CDBG housing dollars primarily target housing rehabilitation, the primary use of HOME dollars in Birmingham is to stimulate new construction and/or substantial renovation projects which result in the revitalization of neighborhoods and communities.

PY 2015 HOME PROGRAM

LIST OF ACTIVITIES BY PROGRAM YEAR AND PROJECT REPORT

Funding Summary

Funded Activity	Amount
Administration	\$ 110,914.00
CHDO Activities	\$ 166,373.00
Rental Activities	\$ 831,862.00
TOTAL	\$ 1,109,149.00

Executive Summary
Emergency Solutions Program (ESG)

ESG funding is allocated for the purposes of assisting the homeless and those at high risk of becoming homeless. Under this year's allocation awarded by HUD (\$487,182.00), five categories of activities were funded, including:

- 1) Emergency Shelter/Street Outreach
- 2) Homeless Prevention Services
- 3) Rapid Re-Housing Assistance
- 4) HMIS
- 5) Administration

ESG Program Funding Summary

PY 2015 ESG Award	\$487,182.00
Total	\$487,182.00

Essential Services:

Essential Services are services concerned with employment, health, drug abuse, and education. Essential services are those which assist primarily chronically homeless persons in transitioning out of homeless situations.

Operations/Maintenance Expense:

Operations and Maintenance Expenses are those costs associated with the operation and administration of agencies that serve homeless individuals. These agencies provide facilities for people in need of temporary or transitional shelter.

Homeless Prevention:

Homeless Prevention programs are designed to prevent the incidence of homelessness. They are set up to assist families that have received eviction notices or notices of termination of utility services.

Rapid Re-Housing Assistance:

Financial assistance and services to prevent individuals and families from becoming homeless and help those who are experiencing homelessness to be quickly re-housed and stabilized.

HMIS:

Maintenance and management of the Homeless Management Information System (HMIS).

The following List of Activities by Program Year and Project Report (IDIS-C04PR02) itemizes all ESG Program Projects and Activities undertaken during the program year. As indicated, a total of \$487,182.00 in ESG funds were obligated during the program year of which \$487,182.00 were expended for ESG activities.

**PY 2015 ESG PROGRAM
LIST OF ACTIVITIES BY PROGRAM YEAR AND PROJECT REPORT**

Funding Summary

Funded Activity	Amount
Administration	\$ 36,583.00
Homeless Prevention Services	\$117,695.00
Rapid Re-Housing Assistance	\$ 36,740.00
HMIS	\$ 3,900.00
Emergency Shelter/Street Outreach	\$ 92,309.00
TOTAL	\$487,182.00

Executive Summary
Housing Opportunities for Persons with AIDS (HOPWA)

The HOPWA program provides funding for supportive services, tenant-based rental assistance, operating costs, rehabilitation/conversion, and resource identification services which benefit individuals who have been diagnosed with HIV/AIDS. The HOPWA program is administered through AIDS Alabama, Inc. in accordance with the City's HUD Approved Action Plan.

PY 2015 HOPWA PROGRAM
LIST OF ACTIVITIES BY PROGRAM YEAR AND PROJECT REPORT

Funding Summary

Funded Activity	Amount
Rental Assistance	\$231,147.00
Supportive Services	\$105,000.00
Operating Costs	\$200,000.00
Resource Identification	\$ 5,000.00
Project Sponsor Administration	\$ 40,731.00
TOTAL	\$ 581,878.00

Executive Summary **Other Leveraged Funds**

In addition to the federal funds summarized, the City also utilized other resources to leverage its available funding to accomplish a number of Goals and Objectives. The other leveraged funds utilized to assist in this effort and the programs that were assisted are summarized as follows:

Birmingham's Plan to Prevent and End Chronic Homelessness 2008-2017 & Project Homeless Connect:

The City has a 10-Year Plan to End Chronic Homelessness in place and implements efforts through One Roof, Inc. the local Continuum of Care (CoC) and Project Homeless Connect. On any given night, there are over 2,500 homeless individuals in Central Alabama. Project Homeless Connect is the first step in a coordinated campaign to reduce homelessness. The 8th Annual Project Homeless Connect Event was held on Saturday, April 11, 2015 from 7:00 a.m. until 4:00 p.m. at the City's Boutwell Auditorium. Approximately 1,000 individuals received assistance during this event. "Homeless Connect" is a national best practice model of a one-day, one-stop event where community service providers and volunteers are mobilized in one setting to assist homeless individuals with real-time access to direct services and on-the-spot results. Approximately 60 government, business, health and human services and faith-based organizations provided a range of services to Birmingham's homeless population. Activities include: medical, dental, substance abuse & mental health services, housing assistance, screenings, glasses, legal services, employment services, benefit enrollment and personal care services such as haircuts, massages, spiritual counseling and a hot meal. For a fifth straight year, the Alabama Department of Public Safety was present and over 200 persons were able to obtain or renew their Driver's License or State ID. The legal community's involvement has made a tremendous impact on homelessness in Birmingham. The Birmingham Bar Volunteer Lawyers Program (BBVLP) provides free legal clinics for the homeless. Birmingham Municipal Court has established a special court for homeless clients that operates understanding the unique needs of this population. For more information about Project Homeless Connect visit www.uwca.org.

Resources made available through the Housing Authority of the Birmingham District (HABD):

The HABD made the investment of the following Federal, State, Local, and Private funds as indicated on the following page within the City of Birmingham to provide and promote the availability of affordable housing. These funds are received and administered by the HABD in accordance with its stated Comprehensive Grant Plan/Annual Statement which is consistent with the goals and objectives contained in the City's HUD approved \2015-2020 Consolidated Plan.

Additional information regarding the HABD and its programs may be obtained through the

administrative offices of the HABD; 1826 3rd Avenue, South; Birmingham, Alabama 35255-5906; phone 205-324-0641.

HABD RESOURCES:

Part I – General Questions

ASSESSMENT OF THE ONE-YEAR GOALS AND OBJECTIVES

1(a). Describe the accomplishments in attaining the goals and objectives for the reporting period.

As noted in Section C of the Executive Summary, the City's PY 2015-2020 Consolidated Plan contains a five-year Strategic Plan which sets forth the following areas of priority need. In summary they are:

- 1) Provide decent and affordable housing for low and very low-income households
- 2) Provide housing and services for populations with special needs
- 3) Provide housing and supportive services for homeless populations
- 4) Promote city wide economic development; and
- 5) Provide public facility/infrastructure activities.

Within these broad areas of priority need, during this reporting period the City made considerable progress on the dual programmatic goals of: 1) revitalizing low-moderate income neighborhoods through the provision of housing, economic opportunity, key services and infrastructure improvements while 2) providing a significant level of services to meet the basic needs of our citizens with the greatest needs as well as increased efforts towards neighborhood stabilization and facilitation of community oriented economic growth endeavors.

As noted on lines 21 and 22 of the attached CDBG Financial Summary Report, \$3,315,211.61 in CDBG funding was expended for activities and priorities benefiting low and moderate income persons representing 96.09% to assist persons in all categories of identified priority need.

Due to the devastating tornadoes that impacted the greater Birmingham area on April 27, 2011 and December 25 2015, the City of Birmingham continues to utilize a great percentage of its funding to rehabilitate homes in the Pratt City and Grassilli area. Housing rehabilitation through the CDBG program allowed for a more immediate redevelopment within the most impacted areas.

1(b). Provide a breakdown of the HUD-CPD formula grant funds spent on grant activities for each goal and objective.

The following priorities were identified in the City's PY 2015-2020 Consolidated Plan and were addressed through the expenditure of the following funds for each priority need identified.

PRIORITIES UNDERTAKEN DURING THE REPORT PERIOD:

**PRIORITY TO PROVIDE DECENT AND AFFORDABLE HOUSING
FOR LOW AND VERY LOW-INCOME HOUSEHOLDS**

Affordable Housing Activities

Program	Funds Allocated	Accomplishments
Housing Rehabilitation Programs		
CDBG Critical Repair Grant Program	\$ 1,515,931.00	118 Units Assisted
Non-Profit Neighborhood Housing Activities		
Independent Living Center (d/b/a Disability Rights and Resources)	\$ 225,000.00	78 Units Assisted
Rising West Princeton*	\$ 25,000.00	1 Units Assisted
Metro Changers	\$ 600,000.00	126 Units Assisted
Christian Service Mission	\$ 200,000.00	13 Units Assisted
TOTAL HOUSING PROGRAMS	\$ 2,565,963.00	336 Units Assisted

**PRIORITY TO PROVIDE HOUSING AND SERVICES FOR
POPULATIONS WITH SPECIAL NEEDS**

PY 2015 CDBG Housing for Special Needs Populations

Program	Funds Allocated	Accomplishments
CDBG Critical Repair Grant Program	\$ 1,515,931.00	118 Units Assisted
Independent Living Center (d/b/a Disability Rights and Resources)*	\$ 225,000.00	78 Units Assisted

PY 2015 CDBG Public Service Special Needs Activities

PUBLIC SERVICE ACTIVITIES		
Children/Youth Dev./Sr. Citizens		
J.J.'s Freedom Center	\$ 19,280.00	70 People
Positive Maturity, Inc. - East Lake	\$ 19,182.00	467 People
Rose Garden Adult Day Services, Inc.	\$ 14,898.00	3 People
Titusville Development Corporation	\$ 19,283.00	56 People
Other Public Services		
Birmingham Urban League, Inc.	\$ 39,846.00	48 People
Childcare Resources, Inc.	\$ 46,250.00	66 People
Gateway	\$ 50,000.00	167 People
Legal Services	\$ 100,000.00	676 People
Jefferson State Community College	\$ 20,859.00	27 People
Special Needs		
Children's Village, Inc.	\$ 14,304.00	20 People
Mental Health Assoc. of Central Alabama, Inc.	\$ 18,226.00	31 People
No. Bham Community Asst. Program, Inc.	\$ 17,879.00	328 People
Prescott House	\$ 18,159.00	363 People
United Cerebral Palsy of Greater Birmingham	\$ 15,216.00	22 People
TOTALS	\$ 413,382.00	2344 People

**PRIORITY TO PROVIDE HOUSING AND SUPPORTIVE
SERVICES FOR HOMELESS POPULATIONS**

PY 2015 CDBG HOMELESS SERVICE PROVIDERS

Program	Funds Allocated	Accomplishments
Aletheia House, Inc.	\$ 36,573.00	122 People
Changed Lives Christian Center,	\$ 40,754.00	140 People
Cooperative Downtown Ministries	\$ 37,059.00	1,947 People
First Light, Inc.	\$ 18,985.00	974 People
Pathways, Bread & Roses (Transitional)	\$ 17,180.00	133 People
Pathways/Downtown Path Center	\$ 42,418.00	1,299 People
.YWCA Homeless Daycare	\$ 30,965.00	70 People
YWCA Homeless Daycare Transportation	\$ 11,309.00	97 People
YWCA-Families	\$ 27,355.00	71 People
Bridge Ministries, Inc.	\$ 15,107.00	167 People
New Pilgrim Bread of Life Ministries	\$ 18,488.00	534 People
Urban Ministry, Inc.	\$ 10,774.00	986 People
Totals	\$ 306,967.00	6540 People

PY 2015 ESG HOMELESS SERVICE PROVIDERS

Activities/Agencies	ESG Funding	# Persons Served
Administration	\$ 36,538.00	
Street Outreach		
Family Connection	\$ 23,927.00	59
Urban Ministry	\$ 10,228.00	273
Emergency Shelter		
Pathways Downtown	\$ 41,069.00	1,299
Pathways Transitional	\$ 42,635.00	133
Cooperative Downtown Ministries	\$ 33,567.00	4,446
Family Connection	\$ 41,548.00	59
First Light	\$ 30,543.00	978
YWCA Family Violence	\$ 33,128.00	70
YWCA Interfaith	\$ 35,664.00	43
Homeless Prevention		
Bridge Ministries	\$ 49,954.00	98
Urban Ministry	\$ 10,741.00	35
JCCEO	\$ 45,000.00	133
Pathways	\$ 6,000.00	0
YWCA	\$ 6,000.00	14
Rapid Re-Housing		
Urban Ministry	\$ 9,000.00	22
JCCEO	\$ 15,000.00	133
Bridge Ministries	\$ 5,740.00	17
YWCA	\$ 7,000.00	18
HMIS		
Urban Ministry	\$ 1,000.00	
Bridge Ministry	\$ 650.00	
JCCEO	\$ 2,250.00	

PRIORITY TO PROMOTE CITY WIDE ECONOMIC DEVELOPMENT

PY 2015 Community and Economic Development Activities

Program	Funds Allocated	Accomplishments
Main Street Birmingham (d/b/a REV Birmingham)	\$ 131,325.00	Provides technical assistance to revitalize community-based commercial areas in nine target districts. 309 Served/ 6 Jobs
Urban Impact-4 th Avenue Business District	\$ 164,787.00	Provides promotional activities for the economic revitalization of the Fourth Avenue Business District. 290 Served/-0- Jobs
Totals	\$ 296,112.00	599 Served/6 Jobs

1.(c). If applicable, explain why progress was not made toward meeting the goals and objectives.

As stated, significant progress was made in addressing each of the listed priorities identified for the reporting period. The City gave priority consideration to projects/activities in the following areas:

Activities in Support of Revitalization of Neighborhoods and Communities

- 1) Construction or rehabilitation of housing for low-moderate income persons. These activities should be targeted and clustered in limited geographic areas. Rental housing that contributes to revitalization will be considered, but priority will be given to activities that support homeownership.
- 2) Provision of services in support of affordable housing, particularly to the provision of homeownership counseling, credit counseling and other services as needed.
- 3) Economic development activities in targeted geographic areas that create job opportunities for low-moderate income persons and/or provide needed services to adjacent low-moderate income neighborhoods.
- 4) Improvements to public facilities and/or public infrastructure in support of targeted housing or economic development activities.
- 5) Provision of public services that support housing and economic revitalization activities in specific targeted geographic areas.

Activities Meeting Basic Needs of Citizens with Greatest Needs

- 1) Housing rehabilitation and development for low-moderate income renters and homeowners with a particular emphasis on the very low income, the elderly, and persons with disabilities.
- 2) Public services in support of low income housing, including housing counseling, assistance to persons with disabilities, assistance to persons with HIV/AIDS, lead-based paint hazard assistance and similar services.
- 3) Provision of emergency shelter, transitional shelter and permanent housing, along with appropriate supportive services at these various levels for the homeless.
- 4) Provision of infrastructure, improvements, assistance to and/or services to provide economic opportunity for low-moderate income residents, including direct assistance and support to organizations that create opportunities and/or jobs for low-moderate income persons, or to organizations that provide essential services to persons in support of economic development, such as child care for working parents, transportation, etc.

- 5) These priorities and objectives provided the framework for the development of the programs and activities undertaken during the reporting period that are summarized in the following pages. Funding was specifically targeted to individuals and families who met low to moderate income guidelines and who reside within the City of Birmingham. Low and moderate income is defined as < 50% and < 80% of area median income, respectively. In PY 2015, 94.64% of all listed funding was used to fund services which provided a low and moderate-income benefit.

Additionally, the following elements were evaluated as benchmarks toward meeting the stated goals and objectives:

I. Geographic Distribution of Investment:

The investment of the above referenced funds was made on a city-wide basis. The City's actual performance regarding distribution of funds was consistent with the planned city-wide distribution of funds as described in the PY 2015 One-Year Action Plan.

II. Leveraging of Non-Federal Resources:

The City, through its adopted housing programs, encouraged the leveraging of private and non-federal funds through the use of Multi-Family Program (CDBG funds), and Rental Rehabilitation funds. The City's actual performance was generally consistent with planned performance as described in the PY 2015 One-Year Action Plan.

III. Matching Contributions:

All matching requirements of the ESG program were met. There were no other matching contribution requirements associated with the funds invested.

IV. Pattern of Actual Investment Compared to Planned Investment Pattern:

The overall pattern of investments compared to planned investments was generally consistent with planned performance as described in the PY 2015 One - Year Action Plan. There were no significant programmatic differences; however, one amendment of the City's PY 2015 Action Plan was required due to additional funds being allocated under ESG.

DESCRIBE THE MANNER IN WHICH THE CITY OF BIRMINGHAM WOULD CHANGE ITS PROGRAM AS A RESULT OF ITS EXPERIENCES

The City plans no changes or adjustments to its programs and strategies outlined herein and in its PY 2015-2020 Consolidated Plan as a result of its program year experiences.

Although actual investment of funds compared to planned investment varied from program to program, overall the assistance provided reflects significant effectiveness of performance in the activities undertaken.

AFFIRMATIVELY FURTHERING FAIR HOUSING

Summary of Impediments to fair housing choice.

The Fair Housing Center of Northern Alabama continues to assist the City of Birmingham in its obligations to affirmatively further fair housing. The center contributes to the analysis of impediments to fair housing choice study and has participated for more than ten years. This study is conducted in order to comply with HUD Regulations 24 CFR as amended. The City provides various levels of support to the FHC including annual funding under CDBG/Planning and Management activities and General fund dollars. In compliance with the recent HUD directive, through its office of Fair Housing and Equal Opportunity (FHEO), the AI Study has been updated to coincide with the City's Five Year Consolidated Plan submission and will continue on this schedule hereafter. With the input of several city departments and representatives from local organizations, the Fair Housing Center reports that the following impediments to fair housing continue to exist in Birmingham.

1. Birmingham's Fair Housing Laws are not substantially equivalent to the Federal Fair Housing Act.
2. Discrimination continues in the homebuyer lending market.
3. Public policy implementation and lack of Fair Housing training often lead to misunderstanding in local initiatives.
4. The Continuum of care program needs to continue to address the need for permanent housing for the homeless.
5. An increased need for family shelters
6. Lack of accessible units for persons with disabilities
7. Need for improved transportation
8. Rental and sales issues continue for Hispanics, Latinos and other protected class housing seekers.
9. Declining property values
10. Lack of low to moderate income housing for the elderly as well as the general population with emphasis on families with children.
11. Excessive unoccupied dilapidated housing.

Identify actions taken to overcome effects of impediments identified:

In response to those impediments, the Fair Housing Center undertook the following actions:

Impediment #1

Lack of equivalent Fair Housing Law in Birmingham

Fair Housing Center's Actions:

1. The Fair Housing Center (FHC) and the local HUD office investigate most of the fair housing complaints in the city. The FHC investigates several hundred complaints yearly.
2. The FHC has assisted the local HUD office and HUD in Washington, D.C. in working with Alabama legislators to attempt to pass an equivalent fair housing law. To date, those efforts have been unsuccessful.
3. FHCNA will continue to work with proposes to assist the city in strengthening current fair housing laws.
4. The FHC is currently reviewing models from other cities to be used to assist the City in updating their fair housing regulations to ensure it is substantially equivalent to the Federal Fair Housing Act.

Impediment #2 Potential protected class discrimination in homebuyer lending market**Fair Housing Center's Actions:**

1. The FHC annually reviews HMDA data of local mortgage lenders
2. Training for lenders is provided either by contract or as part of the center's annual fair housing training.
3. Conducted training for potential home buyers.
4. Investigated allegations of housing discrimination in the home-buying process in both mortgage lending and real estate sales.

Impediment #3 Public policy implementation with a lack of fair housing training often leads to misunderstanding in local housing initiatives.**Fair Housing Center Actions:**

1. The FHC continues to make available training to all housing providers and the general public through presentations at community and neighborhood meetings, specific training for providers and printed materials for public distribution
2. The FHC continues to offer training for city officials and employees. FHC engages in discussion with City Council members and their staff representatives to ensure their understanding of the services provided by the Center and how the Center can support the activities of their offices and communities in terms of fair housing education, outreach and enforcement.
3. Training is available for all recipients of federal funds that are required by law to affirmatively further fair housing.

Impediment #4 Continuum of care needs to continue to consider the needs of permanent housing for the homeless.**Fair Housing Center Actions:**

1. The FHC works with agencies that represent persons with HIV/AIDS such as AIDS Alabama Outreach and with groups such as JCCEO, YWCA Disability Rights and Resources, that work with the disabled, the literally homeless and those at eminent risk of becoming homeless.

Impediment #5 Need for increase of family shelters

1. A number of new shelters for the homeless have opened within the last year, thus reducing but not eliminating the need for more shelters.
2. There still remains the need for additional shelters that will allow both parents.

Impediment #6 Lack of adequate accessible units for persons with disabilities

1. The Center continues to advocate for an increased number of multi-family and single-family units that are accessible.
2. Trainings for housing providers includes discussions on accessible housing.
3. Residents seeking assistance in their request for reasonable accommodations use the Center to intercede on their behalf.

Impediment #7 Need for improved transportation

1. The need for improved transportation is a priority for the City. A new transportation terminal is under construction that will address safe access to public transportation. Routes have been improved in several areas in the City and new routes have been added.
2. New buses are in use which eliminates the constant breakdown of buses in route.

Impediment #8 Rental and Sales issues continue for Hispanics, Latinos and other protected class housing seekers.

1. FHC continues to investigate allegations of discrimination against persons of Hispanic origin and other protected classes through complaints and through systemic testing.
2. Complaints are assisted in the filing of HUD 903 complaints and/or referrals to local attorneys.

Impediment #9 Declining property values

1. With the City initiating new programs to combat blight in many local communities, housing values are improving in specific areas.
2. Some local investments in specific areas have served to increase property values and will continue to rise with new developments.

Impediment #10 Lack of low to moderate income housing

1. Certain areas in the City have been designated for new construction consisting of low to moderate income multi-family and single family structures.
2. Additional projects will be needed in other areas to allow for a much greater impact in resolving the issue if insufficient affordable housing for families and individuals.

Impediment #11 Excessive unoccupied dilapidated housing

1. The City's Land Bank is providing funds for the improvement of dilapidated housing, purchasing of vacant lots, all of which will help to improve property values and reduce the number of vacant lots and dilapidated housing.

In the most recent Analysis of Impediments to Fair Housing Choice Study conducted by and independent agency and the FHC, several impediments were identified that the City continues to address. Below is a brief summary of activities and initiatives undertaken by the City of Birmingham to address these impediments in further compliance with 24 CFR 91.52 (a) and 24 CFR 570.048:

- While the City of Birmingham now operates under its previously established Fair Housing Ordinance, however through the efforts of the Fair Housing Center, the City's Law Department, and other stakeholders a new, updated Fair Housing Ordinance incorporating new and expanded federal directives will be in place and effective during the beginning of the 2017-2018 Program Year.
- The City continues to support the work of the FHC through annual CDBG and General Fund allocations as well as strengthening the partnership to encourage increased education and outreach to all citizens.
- The City continues to support the efforts of the Fair Housing Center to provide training, seminars and other learning opportunities for City department heads, staff with emphasis on persons working directly with housing in any capacity.
- The City's RISE (Removing Blight/Increasing Property Values/Strengthening Neighborhoods/Empowering Residents) Program continues to develop and implement initiatives that are expected to impact identified impediments such as the need to increase the availability of housing for the elderly/disabled, and low to moderate income families in general.
- The City's Land Banking Authority continues to provide opportunities for housing rehabilitation and removal of dilapidated housing, purchasing of vacant lots by neighboring home owners, all of which helps to improve property values while reducing blight and encouraging community pride and expanding responsible property ownership.

The City and its leaders are also making significant strides to improve the quality of life and living conditions of low to moderate income individuals and families, the elderly/disabled and other vulnerable classes of citizens residing in communities that were greatly impacted by recent tornadoes.

- Housing for the elderly has been constructed and is now occupied
- Low to moderate income multi-family units are near completion and expect to be fully occupied.
- Other essential facilities such as a library and Fire Station have been re-built and improved.

The City continues to support the transformation of other low-moderate income communities through the encouragement of private investments, taking advantage of federal, state, local and private sector competitive dollars through grant writing and other opportunities.

DESCRIBE OTHER ACTIONS IN THE CITY’S STRATEGIC PLAN OR ACTION PLAN TAKEN TO ADDRESS OBSTACLES TO MEETING UNDERSERVED NEEDS

The City continued its efforts to meet the needs of the underserved through all of its Action Plan programs as described in the Executive Summary and Part I of this report. The City continued its efforts to encourage the reduction of cumbersome and unnecessary federal regulations, particularly in the HOME and CDBG programs, which often slow the delivery of needed services to the underserved.

LEVERAGING RESOURCES

a. Identify progress in obtaining “other” public and private resources to address needs.

The City has described its progress in obtaining "other" public and private resources that address needs identified in the Executive Summary-Other Leveraged Funds section of this report. In that section, the City identified the Federal, State, local, and private (for profit and nonprofit) resources or funds made available during the program year and identified each funding source.

b. Identify how Federal resources from HUD leveraged other public and private resources.

Federal resources were leveraged through the City’s affordable housing programs and economic development activity programs identified in the Executive Summary and Part I of this report to maximize the impact of federal resources. Leveraging varies from activity to activity depending on the project scope. Generally, to fully leverage federal resources, the City sought to undertake affordable housing and economic development activities that could not be fully funded in the private sector. While not a HUD regulation, it is the City’s position that this is a prudent underwriting practice that allows a public sector lender to direct funds where they are most needed.

c. Identify how matching requirements were satisfied.

There are no matching requirements associated with the CDBG or HOPWA programs. The HOME program received a match reduction for PY 2015. There are matching requirements associated with the ESG program. They were satisfied as follows:

Funding under the ESG programs requires that each grant recipient supplement its grant with an equal amount of matching funds from other sources. In calculating the match, the following may be used:

1. Value of donated material or building;
2. Value of any lease on a building;
3. Salary paid to staff in carrying-out ESG activities;

4. Volunteer hours for services at \$5.00 per hour, or at competitive rates for specific services that are commensurate with the local market.

ESG match requirements were monitored as expenses were incurred by each ESG service provider identified in the Executive Summary of this report. All service providers met their match obligations under the ESG program.

MANAGING THE PROCESS

The following is a description of actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

The Planning Process

The U.S. Department of Housing and Urban Development (HUD) regulations require that a consolidated strategy and plan must result from an effective citizen participation process. The City followed its adopted citizen participation plan in the development of its PY 2015 Action Plan-One Year Use of Funds submission. The City has undertaken throughout the development of its PY 2015 Action Plan, an open, credible, and broad-based effort to involve and solicit the participation of the community and neighborhood leaders, and residents of their needs and the types of services that they would like to see established or improved throughout the City. The City will also be reviewing the 5-Year Consolidated Plan Process that is being developed to go into effect for the Program Years of 2015-2019. The following is a summary of the City's Citizen Participation process.

In an effort to broaden public participation in the development of the PY 2015 Action Plan, the City began its Action Plan development process with the publication of a notice of public hearings on February 8, 2015 in the Birmingham News and February 12, 2015 and in the Birmingham Times. The hearings were scheduled for Thursday, February 19, 2015 and Thursday, February 26, 2015, and a final 2015 Action Plan public hearing notice was published on April 10, 2015 in the Birmingham News and April 9, 2015 in the Birmingham Times. These hearings were scheduled for Thursday April 23, 2015 and April 30, 2015 at 9:30 a.m. in the City Council Chamber. The purpose of the hearings was to obtain comments and proposals for the use of the City's PY 2015 consolidated formula allocation, to review the 5 Year Consolidated Plan Process and to obtain views of citizens, public agencies, and other interested parties on the housing and community development needs of the City. Citizens were also afforded the opportunity to:

- 1) Identify housing and community development needs and priorities
- 2) Review proposed uses of funds; and
- 3) Comment on and review the City's program performance. All comments, priorities, and proposals received at the hearings were considered in the development of the City's Final PY 2015 Action Plan-One Year Use of Funds.

All comments, priorities, and proposals received at the hearings were considered in the development of the City's PY 2015 Action Plan-One Year Use of Funds and the 5-Year

Consolidated Plan that was being developed to go into effect for Program Years 2015-2019.

Copies of the public hearing notices were mailed to the President of the Citizens Advisory Board for the purpose of notifying the City's 99 Neighborhoods and 23 Communities. Copies were also mailed to the Birmingham City Council, City Departments, approximately 40 social service agencies representing a variety of interests, including the housing needs of children, elderly persons, persons with disabilities, homeless persons, and other categories of residents. Copies were also made available to the HABD, the Alabama State HUD office, and other entities. Hearing notices and agendas were also posted on the 2nd Floor City Hall billboard in accordance with the Alabama Open Meetings Act and published in the Birmingham News and The Birmingham Times, a minority owned publication. Copies of the notices were also posted on the City's website at www.birminghamal.gov.

To broaden outreach to minorities, copies of the hearing notices and application schedule were posted on the City's website at www.birminghamal.gov. Hearing notices and agendas were also posted on the 2nd Floor City Hall billboard in accordance with the Alabama Open Meetings Act and published in the Birmingham Times, a minority owned publication.

In order to broaden outreach to persons with disabilities the hearing location was the Birmingham City Council Chamber which is accessible to the disabled. However, anyone who required further information or had a disability which might require special materials, services, or assistance was asked to notify the City's Community Development Department within 48 hours of the hearings. This assistance included any requests for translators, or related services for non-English speaking persons.

All interested parties who were unable to attend the public hearings but desired to submit written views, comments, or proposals regarding the City's development of its Proposed PY 2015 Action Plan-One Year Use of Funds were asked to submit them in writing to the City's Community Development Department on or before 4:00p.m., Thursday March 13, 2015 for the initial meetings held in February and on or before May 11, 2015 for the final public hearings held in April. All written proposals received in a timely manner were considered in the development of the City's Proposed PY 2015 Action Plan.

Agency Consultations

The Department of Community Development worked with other public and private agencies to identify and prioritize community needs, to develop strategies and action plans, to identify community resources, and to promote the coordination of resources. The following agencies were consulted as part of this process:

- Housing Authority of the Birmingham District
- Department of Planning, Engineering and Permits (City's Comprehensive Plan)
- Police Department
- Birmingham Parks and Recreation Board
- University of Alabama at Birmingham

- Jefferson State Community College
- Fair Housing Center for the City of Birmingham
- One Roof (Lead CoC Entity for Homeless)
- Office of Economic Development for the City of Birmingham
- Division of Youth Services for the City of Birmingham
- Jefferson County Department of Health
- Alabama Housing Finance Authority

The following recently prepared and printed studies, plans, reports and resources, consulted in this process, provided information that was used in the development of the consolidated plan:

- The City of Birmingham's 2015-2020 Consolidated Plan
- The City of Birmingham's *10-Year Plan to End Chronic Homelessness (2007-2017)*, *Analysis of Impediments to Fair Housing (2015 –Con Plan*, and *Policy Statement on Community Development and Neighborhood Revitalization*
- National Low-Income Housing Coalition's report *Out of Reach: The Gap Between Housing Costs and Income of Poor People in the United States*
- The U.S. Department of Commerce, Bureau of the Census
- The U.S. Department of Labor, Bureau of Labor Statistics
- The City of Birmingham's Comprehensive Plan
- The City of Birmingham Housing Study

CITIZEN PARTICIPATION

7-1. Summary of Citizen Comments:

The following is a summary of citizen comments received during the development of the City's PY 2015 Action Plan:

CITY OF BIRMINGHAM DEPARTMENT OF COMMUNITY DEVELOPMENT PY 2015 ACTION PLAN ONE-YEAR USE OF FUNDS 2/19/15 PUBLIC HEARING MINUTES – 9:30 a.m.

On Thursday, February 19, 2015, a public hearing was conducted in the City Council Chamber at 9:30 a.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2015 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) funds, and the 5-Year Consolidated Plan that was being developed to go into effect for Program Years 2015-2010.

Also, citizens were afforded an opportunity to:

- 1.) Identify housing and community development needs and priorities
- 2.) Review proposed uses of funds; and
- 3.) Comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2015 Action Plan One-Year Use of Funds.

**CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT
PY 2015 ACTION PLAN ONE-YEAR USE OF FUNDS
2/26/15 PUBLIC HEARING MINUTES - 9:30 A.M.**

On Thursday, February 26, 2016, a public hearing was conducted in the City Council Chamber at 9:30 a.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2015 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) funds. Also, citizens were afforded an opportunity to:

- 1) Identify housing and community development needs and priorities
- 2) Review proposed uses of funds; and
- 3) Comment on and review the City's program performance.

It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2015 Action Plan One-Year Use of Funds.

**CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT
PY 2015 ACTION PLAN ONE-YEAR USE OF FUNDS
4/23/15 PUBLIC HEARING MINUTES -9:30 a.m.**

On Thursday, February 23, 2015 a public hearing was conducted in the City Council Chamber at 9:30 a.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2015 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) funds. Also, citizens were afforded an opportunity to:

- 1.) Identify housing and community development needs and priorities
- 2.) review proposed uses of funds; and

- 3.) Comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2015 Action Plan One-Year Use of Funds.

**CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT
PY 2015 ACTION PLAN ONE-YEAR USE OF FUNDS
4/30/15 PUBLIC HEARING MINUTES – 9:30 a.m.**

On Thursday, April 30, 2015, a public hearing was conducted in the City Council Chamber at 9:30 a.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2015 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) funds. Also, citizens were afforded an opportunity to:

- 1.) Identify housing and community development needs and priorities;
- 2.) 2) Review proposed uses of funds; and
- 3.) 3) Comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2015 Action Plan One-Year Use of Funds.

7-2. Federal Funds and Geographic Distribution of Funds:

Contained in the Executive Summary, the City has identified by formula grant program the Federal funds made available for furthering the objectives of the Consolidated Plan. Also identified the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the reporting period.

Regarding the geographic distribution and location of expenditures, as noted in the Executive Summary, the investment Federal resources was made on a city-wide basis. The majority of programs are self-targeting designed to meet the needs of low and moderate income persons on a limited clientele basis. The distribution of funds was consistent with the planned city-wide distribution of funds as described in the PY 2015 One-Year Action Plan. See attached maps in the addendum.

INSTITUTIONAL STRUCTURE

Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.

The City during PY 2015 focused its efforts to strengthen identified weaknesses in the institutional structure in continuing its efforts to provide as much support as possible for

nonprofit organizations. The City, through its CDBG and HOME programs, continued its policy to assist in the development of nonprofit organization's capacity to produce and participate in the delivery of affordable housing services to the citizens of Birmingham. The City also supported the efforts of many nonprofit organizations, as well as other local federal entities such as the Housing Authority of the Greater Birmingham District (HABD), in their applications to HUD for direct federal assistance under a variety of federal programs including, but not limited to:

- CHOICE Neighborhood Planning and Implementation Grants
- ESG Transitional Housing; and
- HOPWA

The City's continued support for nonprofit organizations was consistent with those actions proposed in its PY 2015 One-Year Action Plan.

MONITORING

Describe how and the frequency with which you monitored your activities.

The City of Birmingham through its Department of Community Development is committed to a comprehensive program of monitoring and evaluating the progress of housing and community development activities. The goal of the jurisdiction is to ensure long-term compliance with the applicable regulations and standards, particularly the National Affordable Housing Act. The Department of Community Development administers and monitors activities funded in whole or in part under programs covered by the consolidated plan. The Department of Community Development is responsible for overseeing funds distributed to sub-recipients and other entities, and for reporting performance and accomplishments in the Consolidated Annual Performance and Evaluation Report.

Because the CPD formula block grant program promotes maximum flexibility in program design and since the use of these funds is driven by local choice, HUD believes that performance measurement systems should be developed at the state and local level.

In accordance with the HUD-CPD Notice 03-09, issued in September 2003, the City is continuing its efforts to develop local performance measures. The City's Community Development Department requires monthly and quarterly reports from each of its CDBG, ESG, HOME and HOPWA grantees measuring performance and output measures. At year-end, these reports are to be compiled and included as a part of the City's annual CAPER submission.

The City of Birmingham, through its Department of Community Development, has structured its monitoring process to ensure that a system of continuous communication and evaluation is in place. The monitoring process facilitates the evaluation of accomplishments in relation to established goals and objectives. Information gained from the review will give the City of Birmingham an opportunity to determine which programs and /or strategies are working, which benefits are being achieved, which needs are being met and which objectives are being accomplished. Both qualitative and quantitative

methods of evaluation will be used including the following:

- Surveys
- Telephone conversations
- Quarterly reports
- Periodic meetings
- Workshops
- Evaluation sessions
- Other forms of data collection

The Department of Community Development requires that all sub recipients, CHDOs, or other entities receiving funding execute written agreements before any CDBG, HOME, ESG, or HOPWA funds will be disbursed. These agreements provide a description of the activity or project to be undertaken, its objectives, budget, and expected duration. The roles and responsibilities of each partner and the expected public benefit will be specified, measured, and recorded for tracking performance.

Service and/or housing providers will be required to submit monthly and quarterly reports on progress and accomplishments. The Department of Community Development also conducts mid-year evaluations and formal on-site site visits of funded recipients. These strategies are used, as necessary, to redirect or refocus programs in order to meet annual and five-year objectives.

As part of the monitoring process, the City of Birmingham through its Department of Community Development conducts a Risk-Analysis of each activity to determine a prioritized schedule based on the status of current and prior year performance, the size of the allocation, knowledge of administrative, management, financial audit concerns of implementing entity, and other factors. A schedule is then distributed outlining the schedule of visits for each program, housing provider, and/or service provider. The schedule is sent to each agency, organization or appropriate metropolitan government department as part of the initial CDBG, HOME, HOPWA, or ESG orientation. Notification letters, with schedules included, will address specific monitoring and technical aspects to be covered along with agency staff that should be involved. The Department of Community Development also employs desk-top, remote, spot reviews and formal on- site visits as part of its monitoring process. .

Timeliness of Expenditures: To ensure the timeliness of expenditures, the focus of the monitoring plan centers on key indicators, which demonstrate if programs are operating effectively and efficiently. The plan will help the City of Birmingham, Alabama to ensure that housing, homeless, and non-housing issues and the internal policies are consistent. Where projects and/or programs have experienced delays, assessments of the following will be conducted:

- Reasons for the delay
- Extent to which the delay is beyond the control of the housing and/or service provider

- Extent to which original priorities, objectives and schedules were unrealistic

Monitoring activities for the Consolidated Plan incorporates aspects that have been included in the CDBG, HOME, HOPWA and ESG programs. This includes reviewing and documenting projects for eligibility, maintaining record-keeping requirements, and reviewing financial transactions, including budgets and funding. Since the consolidated plan is an integrated, comprehensive document, expansions and modifications of other monitoring procedures that have been used in the past are considered.

Sub-Recipient Monitoring:

The City has complied with the Contractual Regulatory Requirements, as referenced at Section 24 of the Code of Federal Regulations, Part 570, which outlines the following basic provisions which all Sub-recipient agreements must address:

Statement of Work/Scope of Services:

A detailed description of work to be performed, quantifying specific performance goals/milestones for completion of activities, and how the project will ensure that intended beneficiaries are served. Provision of detailed information regarding the documentation of eligibility per program, planned impact of economic development activities including job creation and retention, where applicable. Housing rehabilitation/development activity descriptions will address specific work to be done, the number units to be completed and performance benchmarks. The scope of services for public works and public facilities will be developed with the assistance of participating city departments such as Engineering, Parks and Recreation and private sub-contractors, where applicable.

Project Budget

A detailed budget of projected resources, indicating clearly how funds will be used to support planned activities.

Record-keeping Requirements

Identification of the Sub-recipient's responsibility for providing timely financial reports, accurately documenting program progress, the frequency of reports required by the City and Federal Government, and documentation of CDBG participant and/or area eligibility.

Program Income

Specific management and reporting procedures for those activities that may generate program income to ensure that funds are properly recorded reported and expended.

Program Financial Audit

A detailing description of regulatory guidelines by which the City and Sub-recipient agencies will be governed regarding the financial and programmatic responsibilities of grant administration.

Other Program Requirements

Addressing such special areas as labor standards, fair housing requirements, prescribed conditions governing the participation of religious organizations, and other assurances and certifications where applicable.

Suspension and Termination Clause

Sets forth provisions for the City to terminate or suspend agreements for reasons of non-compliance or convenience. These actions which provide Sub recipients with all the necessary contract management and program implementation tools, will provide adequate and detailed information to effectively monitor both the Sub recipient's and the City's performance under the Consolidated Plan.

Schedule

The monitoring of Consolidated Plan approved program activities will be accomplished through the application of three basic functions: Programmatic, Financial and On-Site Monitoring. The first two functions are conducted from an in-house perspective based on the desk-top review of required monthly progress reports, periodic inter-departmental consultations, financial reimbursements and related documents, correspondences and other day-to-day contract management activities. The City's Finance Department provides weekly and monthly auditing of CDBG Program expenditures through its computerized Fiscal Management and Information system. There is also an annual internal audit conducted by the City's Internal Audit Division as well as an independent accounting firm. On-site performance review monitoring will be conducted through actual visits to project locations and/or sub-recipient agencies, to verify and inspect planned versus actual progress for public service, housing, public works and facilities, and economic development activities. An assessment of fund management, grant administration, compliance with contractual obligations, and the Consolidated Plan will also be made.

Significant observations resulting from all monitoring efforts will be documented in writing and placed in appropriate project files. Comments on the status of activities reflecting successes or lack of progress will be reflected with recommendations for corrective actions where necessary. For minor problems, on-going open collaborations with funded entities will provide an opportunity to share concerns, and offer immediate technical assistance. In instances where on-site monitoring have taken place a formal letter outlining monitoring results and any necessary corrective to be undertaken and time frames to resolve identified concerns will be transmitted to the appropriate authorities. In addition to daily monitoring and management functions, the City will conduct at least one formal on-site monitoring of Sub recipients per contract year. However, unlimited monitoring will take place for those agencies demonstrating the need.

The U. S. Department of Housing and Urban Development provides further oversight of the City's CDBG activities and other financial assistance programs through its annual monitoring, required program specific performance reports and technical assistance upon request. The City anticipates that this policy will continue.

Home Program Monitoring:

1. The Community Development Department prepares a written HOME Program request for proposals that is published in local newspapers of general circulation.
2. Community Development Department staff reviews all HOME Program proposals according to how each can best address the City's affordable housing priorities identified in the City's Consolidated Plan and Action Plan.
3. After proposals are reviewed, the Community Development Department makes its recommendations to the Mayor's Housing Review Committee, based on program objectives.
4. Upon approval, HOME sub recipients are informed as to the disposition of their proposal. Contracts are prepared for execution by the City and HOME sub-recipients approved to provide affordable housing.
5. Community Development staff monitor the contractual agreement through project completion and throughout the duration of applicable affordable housing limits.

Program Timeliness: The Community Development Department Staff monitor overall program timeliness by reviewing expenditure ratio's for CDBG, ESG, HOME, and HOPWA on at least a monthly basis. Copies of the reports are maintained on file in the Community Development Department.

Describe the results of your monitoring including any improvements:

Monitoring results were favorable during the year. All activities monitored received a letter outlining any findings or concerns with a recommended course of action for correction. The monitoring results for each activity reviewed are maintained on file in the Community Development Department. A summary of the results of the City's monitoring of its Sub-recipients follows:

SELF EVALUATION**a. Describe the effect programs have in solving neighborhood and community problems.**

As noted herein, all priorities identified in the City's 5 Year Consolidated Plan were addressed. The programs effects have been wide ranging including improved affordable housing, services to the City's homeless and special needs populations, economic development and improvement of public facilities. The City's Citizen Participation Program also continues to provide a vital communication link between the City and its 99 neighborhoods.

b. Describe progress in meeting priority needs and specific objectives and help make the community's vision of the future a reality.

The Consolidated Plan is a concept that is designed to enable officials and citizens to become more aware of the larger picture and the extent to which all related programs are effective in collectively solving neighborhood and community problems. Moving beyond the compilation of program outputs, there must be a focus on results that will allow a community to assess progress in meeting the priority needs and specific objectives identified in the strategic plan and action plan in order to help make the community's vision of the future a reality.

In its 2015-2020 Consolidated Plan, the City identified 6 priorities for the five-year planning period. These priorities were developed based on need identified in the City's 2015-2020 Consolidated Plan and the anticipated programs/resources to meet those identified priorities.

The following represents the City's assessment of its effectiveness of its performance during the PY 2015 Action Plan in the achievement of its overall five-year strategy objectives and priorities. The categories of priorities identified in the City's five-year plan are as follows:

1. Affordable Housing Priorities (Priorities 1, 2 and 4 outlined in the Executive Summary)

The City, during PY 2015, undertook a number of programs and activities as described in the Part I of this report, to address the five year priorities of promoting affordable housing for low income renters, low income homeowners, and to provide affordable housing opportunities for first time home buyers. The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment, and the pattern of actual investment compared to planned investment all described in Part I (1) Assessment of the one-year goals and objectives, is generally consistent with planned performance as set out in the City's five year affordable housing priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the units of housing rehabilitated to promote the availability of affordable housing reflected significant effectiveness of performance in the activities undertaken.

2. Homeless/Continuum of Care Priorities (Priorities 3 and 4 as outlined in the Executive Summary)

The City during PY 2015 undertook a number of programs and activities as described in the Part I of this report to address the five year proprieties of providing emergency shelter, support, and services for the homeless, to increase support facilities and services for the homeless persons in Birmingham who are handicapped, and to increase the number of transitional housing facilities available to the homeless population of Birmingham. The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment and the pattern of actual investment compared to planned investment,

all described in Part I(1) Assessment of the one-year goals and objectives are generally consistent with the planned performance as set out in the City's five year homeless priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the assistance provided for emergency shelter, support, and services to homeless persons in Birmingham reflects significant effectiveness of performance in the activities undertaken.

3. Non-Housing Community Development Needs Priorities (Priorities 5 and 6 as outlined in the Executive Summary)

The City during PY 2015 undertook a number of programs and activities as described in Part I of this report to address the five-year priorities of meeting community development needs including public improvements, public facilities, public services, and economic development needs. The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment and the pattern of actual investment compared to planned investment, all described in Part I (1) Assessment of the one-year goals and objectives, are generally consistent with the planned performance as set out in the City's five year non-homeless persons with special needs priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the assistance provided for non-homeless persons with special needs in Birmingham reflects significant effectiveness of performance in the activities undertaken.

The City plans no adjustments to strategies and activities outlined herein and in its PY 2015-2020 Consolidated Plan Five Year Strategic Plan. Although actual investment of funds compared to planned investment varied from program to program, overall the assistance provided reflects significant effectiveness of performance in the activities undertaken.

c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.

As noted throughout Part 1 of this report, the City continued its emphasis of providing decent and affordable housing through the CDBG, and HOME programs. These programs and their results are detailed in Part I of this report.

Further, the City continued its efforts to expand economic opportunity for low and moderate-income persons through the creation of jobs under the CDBG Economic Development Activities as outlined in Part I of this report including its Section 3 Compliance efforts as outlined in the following section.

SECTION 3 COMPLIANCE SUMMARY

During PY 2015, the City undertook a number of efforts to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs to the greatest extent feasible, toward low-and very

low-income persons. These efforts intended to benefit Section 3 eligible persons have been summarized as follows:

SECTION 3 TRAINEES:

- The City contracted with Jefferson State Community College in the amount of \$21,285 in CDBG funds to provide a New Options Program to assist low and very low income adults, primarily single parents, obtain educational and job skills training. **A total of 27 persons were assisted.**
- The City contracted with United Cerebral Palsy of Greater Birmingham, Inc., in the amount of \$15,216 in CDBG funds to provide a comprehensive training, including employability training, for low and very low income persons afflicted with Cerebral Palsy. **A total of 27 persons were assisted.**
- The City contracted with the Mental Health Association of Central Alabama to provide job readiness training and job placement program focusing on improving the socialization and employability skills of limited clientele participants. **A total of 31 persons were assisted.**

As a result of these efforts, **a total of 85** Section 3 eligible residents, as defined at 24 CFR 135.5, were provided employment training assistance. The referenced programs are ongoing.

SECTION 3 RESIDENTIAL REHABILITATION:

CDBG Critical Repair Grant Program: In PY 2015, the City budgeted \$1,515,931.00 in CDBG funds (IDIS Project Number 5) to provide grants of eligible rehabilitation expenses to low-and moderate income homeowners up to \$15,000 per qualified homeowner. Contractors for this program are selected by the program participants and most contractors selected represent small rehabilitation minority business owners many of whom may well be Section 3 eligible. Alabama State Law prohibits preferential treatment under State Bid Law and no contractors for PY 2015 were certified as Section 3. While there were some that were potentially eligible, they were unwilling to disclose financial information.

PROGRAMS UNDERTAKEN TO DEVELOP / STRENGTHEN SECTION 3 BUSINESS CONCERNS:

The City undertook the following activities in an effort to develop and strengthen small business and section 3 eligible business concerns:

- I. Urban Impact, Inc.
1721 Fourth Avenue North – Suite 102
Birmingham, Al 35203
Mr. Ivan Holloway, Executive Director
Phone: 205-328-1850

The City of Birmingham annually renews a contract with Urban Impact, Inc., to provide

technical assistance to minority and other economically disadvantaged business enterprises who wish to establish businesses in the City's Historic Fourth Avenue Business District. The City of Birmingham supports this program in an effort to assist and strengthen Section 3 business concerns or to assist those businesses who desire to be qualified as a Section 3 business.

Urban Impact Inc. works directly with the City of Birmingham's Community Development Department to identify and certify Section 3 business concerns.

II. CDBG Float Loan Program:

Under the CDBG, the regulations do not require that funded activities be completed within a particular time period. Planned activities are often scheduled by the grantee (the City) to be carried out over a period of time. There is some constraint on the City's ability to schedule activities over long periods of time since the regulations provide a maximum level of unexpended funds a grantee is generally authorized to have when it is about to receive its next annual grant. However, the allowable level of funds at that point can be as much as one- and one-half times the community's annual grant amount. This level of undisbursed funds is called the "float" which is identified in the Action Plan.

In accordance with 24 CFR 570.301(a) the City's Float Loan Program is as an activity for which the City has not yet decided on specific locations for its Float Loan activities. The City's Action Plan does describe the City Center and Neighborhood Commercial Revitalization Float Loan Programs as areas in which float funded loans may be undertaken and further describes who may apply, where applications are accepted, and the approval process. Applications for assistance from private for profit entities, individuals, or other eligible organizations are accepted Monday thru Friday at REV Birmingham, 505 20th Street, North, Suite 150; Birmingham, Al 35203 and at Main Street Birmingham, 5601 1st Avenue, North-Suite 102; P.O. Box 320637; Birmingham, AL 35212. To qualify for assistance, borrowers must meet all CDBG eligibility and national objective requirements and must further obtain a direct pay irrevocable letter of credit from a commercial lender that is unconditionally available to the City for the full amount of the loan including principal and interest. The approval process for each individual Float Loan requires the approval of the Mayor, City Council, and concurrence from the U.S. Department of Housing and Urban Development.

As outlined in the Action Plan, the City utilizes its "float" for Economic Development and Historic Preservation activities to promote and strengthen the economic base and the business climate through the creation and retention of jobs for Section 3 and other low-and moderate income eligible participants.

III. REV Birmingham, Inc.
505 20th Street North Suite 1010
Birmingham, AL 35203
P.O. Box 320637
Birmingham, AL 35212

David Fleming, Executive Director
Phone: 205-595-0562

REV Birmingham works directly with the City of Birmingham's Community Development Department to identify, assist, and certify Section 3 business concerns.

REV Birmingham's SocialVenture Program is a public-private partnership focusing on creating new business and job opportunities by focusing resources on redevelopment and enhancement of historic neighborhood commercial districts. There has never been a more challenging environment for small business and Section 3 eligible business owners. And yet, as the markets for sales and lending shrink, REV Birmingham is identifying new strategies for reaching out to customers. Through resources like the City of Birmingham's Economic Stimulus Loan Program, REV Birmingham is helping small business owners and entrepreneurs access capital for expansion and new enterprise. REV Birmingham works directly with the City of Birmingham's Community Development Department to identify and certify Section 3 business concerns.

IV. City of Birmingham
Department of Community Development
710 North 20th Street, Room 1000
Birmingham, AL 35203
John Colón, Director
Phone: 205-254-2475

The City of Birmingham recognizes that the Department of Community Development and any other department of the City that receives over \$100,000 in Community Development Block Grant (CDBG) funds (hereafter referred to as the department), must comply with Section 3 of the Housing and Urban Development Act of 1968, as amended. To that end, the Community Development Department has developed Section 3 Policies, Procedures, and Guidelines to provide Section 3 residents (low and very low income persons), as well as businesses that have an ownership of 51% or more Section 3 residents, the type of economic opportunity that will allow them to become self-sufficient. A copy of the Community Development Department Section 3 Compliance Strategy is posted on the Community Development Webpage at www.birminghamal.gov.

Section 3 focuses on three construction project areas assisted in whole or in part with CDBG, HOME, NSP1, or other HUD funds:

1. Housing rehabilitation (including reduction and abatement of lead-based paint hazards).
2. Housing construction.
3. Other public construction projects assisted with CDBG, HOME or other Federal funds.

Jobs arising in connection with these projects are not only the construction jobs, but also

management, maintenance, clerical, and administrative jobs that come into existence because of a construction project.

The City's Community Development Department is in the process of assigning a Grants Management Coordinator as the single point of contact staff person relative to all Section 3 matters.

Section 3 Business Development Activities supported with CDBG Funds

Program	Funds Allocated	Accomplishments
REV Birmingham	\$ 131,325.00	Provides technical assistance and office space to revitalize community-based commercial areas in nine target districts as well as increase opportunities for entrepreneurship. Served 309 p/p/6 jobs created
Urban Impact	\$ 164,787.00	Provides promotional activities for the economic revitalization of the Fourth Avenue Business District. Served 290 p/p/ -0- businesses assisted

** LOC: Stands for Irrevocable Letter of Credit issued by a commercial lender unconditionally available to the City for the full amount of principal and interest of the float loan.*

EFFORTS TAKEN TO COLLABORATE IN ORDER TO STRENGTHEN SECTION 3 CERTIFICATIONS

The City continues to work to establish a consistent method for Birmingham citizens to be Section 3 Certified. In order to draw more citizen and business participation into the Section 3 Certification process the City created self-certification forms for resident and business concerns. Additionally, the City has reached out to businesses that have previously been affiliated with the Department of Community Development in order to certify those interested qualifying as Section 3 Business Concerns. The City will continue to look for ways to offer Section 3 certifications.

SECTION 3 SUMMARY
FORM HUD-60002

d. Indicate any activities falling behind schedule.

The City's program progress is satisfactory at this time. As of September 2, 2016 the City's CDBG timeliness ratio was 1.40 which is within the regulatory defined satisfactory range. The department is working to improve the timeliness of the Housing Rehabilitation Programs. While some activities do take longer than others to accomplish, the City's overall activities are meeting program goals and objectives.

e. Describe how activities and strategies made an impact on identified needs.

As noted herein, all priorities identified in the City's 2015-2020 Consolidated Plan were addressed. The activities and strategies identified in Part I of this report have impacted the needs identified therein in a wide ranging manner including improved affordable housing, services to the City's homeless and special needs populations, economic development and improvement of public facilities. The City's Citizen Participation Program also continues to provide a vital communication link between the City and its 99 neighborhoods.

f. Identify the indicators that would best describe the results.

The factors that best describe the results are the program accomplishments for each of the formula entitlement program and the programs of the Housing Authority of the Birmingham District. These accomplishments are detailed in Part I (1) of this report entitled "assessment of the one-year goals and objectives." In summary, progress was made in all priority areas including the provision of affordable housing, provision of services to special needs populations, provision of housing and supportive services for the homeless populations, the creation of jobs for low and moderate income persons, and in providing public facility/infrastructure upgrades to areas of the City where at least 51 percent of the residents are low-and moderate income persons.

g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.

The City of Birmingham in its HUD approved PY 2015-2020 Five-Year Consolidated Plan, assessed the negative effects of public policies, rules, and regulations impacting upon the availability of affordable housing and set forth a plan to remove or ameliorate their negative effects. It was also noted that such regulations have been enacted for good reason - to protect the Community's health and safety. It was noted, zoning ordinances, subdivision regulations, building codes, environmental regulations, design and sign ordinances, historic preservation requirements, state/federal health cords, and paperwork procedures all impact upon the availability of affordable housing.

In attempting to remove or ameliorate the negative effects of public policies, the City, as described in its PY 2015 One-Year Action Plan included, but was not limited to, the following actions:

- Reviewed paperwork procedures impacting upon the City's adopted housing

programs in an effort to streamline existing requirements.

- Continued its review of various City ordinances, codes, and regulations in an effort to reform regulations without the possible sacrifice to health and safety.
- Continued its review of design and sign ordinances which affect the aesthetics of building exteriors.

The actions undertaken by the City during PY 2015 to address negative effects of public policies are generally consistent with those actions proposed in the City's PY 2015 Action Plan. As cited in the PY 2015 Action Plan, many factors influence the cost of affordable housing (interest rates, land costs, market pressures, etc.) over which local jurisdictions have little influence. However, the City as planned addressed those areas in which some impact could be made.

h. Identify whether major goals are on target and discuss reasons for those that are not on target.

The major priorities goals and objectives outlined in the City's 2015-2020 Consolidated Plan that were addressed during PY 2015 are generally on target as outlined in the Executive Summary, Section D, Program Highlights and Initiatives. Within these broad areas of priority need, during this reporting period the City made considerable progress on the dual programmatic goals of: 1) revitalizing low-moderate income neighborhoods through the provision of housing, economic opportunity, key services and infrastructure improvements while; 2) providing a significant level of services to meet the basic needs of our citizens with the greatest needs, including the homeless, those with HIV/AIDS or with physical/mental disabilities and the very poor elderly.

Accordingly, in order to continue to seek these two broad goals, and implement a program within the areas of priority need identified within the City's five-year strategic plan, during PY 2015 the City gave priority consideration to projects/activities in the following areas:

Activities in Support of Revitalization of Neighborhoods and Communities

- 1) Construction or rehabilitation of housing for low-moderate income persons. These activities should be targeted and clustered in limited geographic areas. Rental housing that contributes to revitalization will be considered, but priority will be given to activities that support homeownership.
- 2) Provision of services in support of affordable housing, particularly to the provision of homeownership counseling, credit counseling and other services as needed.
- 3) Economic development activities in targeted geographic areas that create job opportunities for low-moderate income persons and/or provide needed services to adjacent low-moderate income neighborhoods.

4) Improvements to public facilities and/or public infrastructure in support of targeted housing or economic development activities.

5) Provision of public services that support housing and economic revitalization activities in specific targeted geographic areas.

i. Identify any adjustments or improvements to strategies and activities that might met your needs more effectively.

The City does not at this time anticipate future actions or changes to its PY 2015-2020 Consolidated Strategy and Five-Year Strategic Plan proposed to be made as a result of assessing its PY 2015 One-Year Action Plan Performance or experiences. The City will of course continue to seek improvements to existing strategies and activities and make adjustments as needed.

LEAD BASED PAINT

Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

The City undertook, through its Community Development Block Grant Housing Rehabilitation Programs, efforts to support lead based paint abatement for all eligible households, regardless of the presence or age of children, whose homes meet or exceed HUD action levels for abating lead-based paint.

Abatement means any set of measures designed to permanently eliminate lead-based paint hazards in accordance with standards established by appropriate Federal agencies. Such term includes:

- * the removal of lead-based paint and lead-contaminated dust, the permanent containment or encapsulation of lead-based paint, the replacement of lead-painted surfaces or fixtures, and the removal or covering of lead-contaminated soil; and
- * All preparation, cleanup, worker protection, disposal, and post-abatement clearance testing activities associated with such measures.

The City also undertook the following strategies for lead hazard reduction:

- a. Support of the Jefferson County Health Department (JCDH) Lead Hazard Reduction Committee by participating in this committee to provide solutions for the health and housing problems which result from lead hazards.
- b. The City supported efforts of alliance to end childhood lead poisoning at the National and State level.
- c. The City assisted the JCDH Committee in educating residents about the epidemic

of childhood lead poisoning.

- d. The City supported efforts for state legislation required under Title X for approved state programs for contractor's license and worker training. The City's position continues to be that model state law should emphasize prevention through source control and elimination.
- e. The City encouraged local contractors to become certified in testing and abating lead-based paint particularly through training provided by Safe-State at the University of Alabama in Tuscaloosa. Once lead is identified in a residence, the property owner must remove the lead source to eliminate the exposure.
- f. The City continued its support for the inclusion of lead hazard reduction as part of the minimum housing code; therefore, allowing for the inspection of lead when the house is otherwise being inspected or evaluated.
- g. The City requires lead hazard reduction to be part of City sponsored rehabilitation contracts, thereby abating by removal or encapsulation.
- h. The City continues to support the efforts of the Public Housing Authority to provide a lead-safe living environment.
- i. The City is considering the design of a housing program with City monetary and non-monetary incentives in order to provide lead-safe housing environments for owners and renters.
- j. The City encourages education efforts with other public agencies on the Federal, State, and Local levels.
- k. In an effort to comply with the requirements of HUD's regulation to protect young children from lead-based paint "Requirements for Notification, Evaluation and Reduction of Lead-Based Paint Hazards in Federally Owned Residential Property and Housing Receiving Federal Assistance", which went into effect September 15, 2000, the City provided the opportunity for a number of its housing inspectors to become certified in testing and abating lead-based paint particularly through training provided by Safe State at the University of Alabama in Tuscaloosa.

The City included in its PY 2015 Action Plan, a deferred loan option for residential rehabilitation. All residential rehabilitation loan programs funded in whole or in part with CDBG or HOME funds have a deferred loan option for funds which go to correct lead based paint problems and minimum housing code items which directly impact health and safety. Deferred loan terms require that the borrower live in the residential structure assisted through the term of the deferred loan. If a borrower remains in the structure assisted through the term of the deferred loan the loan will be forgiven. If a borrower should move from the structure assisted, rent or convey the property in any way prior the end of the term of the deferred loan then the loan becomes immediately due and payable.

Periods of deferment may be for up to 15 years.

Resources/Programs:

The City undertook its lead based paint abatement efforts and strategies through its established CDBG Housing Rehabilitation Programs. All lead based paint abatement requirements are undertaken by housing developers under contract with the City to provide affordable housing in accordance with lead based paint abatement contract requirements.

Amount of Funds Invested:

In Past years, the City included \$100,000 in its Action Plan for Lead Based Paint Hazard Compliance. However, no activities were funded in 2013. These funds include equipment, inspection, risk assessment, project design interim controls, abatement, testing, training, matching funds and other costs associated with regulatory compliance.

Part II – Housing

ACTIONS TAKEN TO MAINTAIN AFFORDABLE HOUSING

In this narrative, the City will discuss its accomplishments and those of other entities in providing affordable housing assistance to very low-income households (0-30% of area median income), low-income households (31%-50% of area median income), moderate income households (does not exceed 80% of area median income), middle-income households (between 80% and 95% of area median income), homeless persons, and persons with other special needs during the reporting period.

In its HUD approved PY 2015-2020 Consolidated Plan submission, the City identified the provision of affordable housing services as a high priority need to be addressed as a part of the City's five year strategic plan. As can be seen from the attached PY 2015-2020 Five Year Consolidated Strategy Priority Needs Summary Table, the City identified the provision of affordable housing as a high priority for its very low income, low income, middle-income, and homeless residents. The City further identified the type of assistance anticipated to be provided to renters and owners by family size and estimated the number of needed units as well as the estimated dollars to address the identified needs.

In an effort to address the affordable housing needs identified, the City developed affordable housing priorities that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City's PY 2015-2020 Consolidated Plan contains a Five-Year Strategic Plan which sets forth the following areas of priority need.

- Provide decent and affordable housing for low and very low-income households.
- Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements.
- Provide housing and services for populations with special needs.
- Provide housing and supportive services for homeless populations with emphasis on permanent housing outcomes.
- Promote city wide economic development.
- Provide public facility/infrastructure activities.

Within these broad areas of priority need, during this reporting period the City made considerable progress on the dual programmatic goals of: 1) revitalizing low-moderate income neighborhoods through the provision of housing, economic opportunity, key services and infrastructure improvements while 2) providing a significant level of services to meet the basic needs of our citizens with the greatest needs, including the homeless.

Accordingly, in order to continue to seek these two broad goals, and implement a program within the areas of priority need identified within the City's five-year strategic plan, during PY 2015 the City gave priority consideration to projects/activities in the following areas:

Activities in Support of Revitalization of Neighborhoods and Communities

- 1) Construction or rehabilitation of housing for low-moderate income persons. These activities should be targeted and clustered in limited geographic areas. Rental housing that contributes to revitalization will be considered, but priority will be given to activities that support homeownership.
- 2) Provision of services in support of affordable housing, particularly to the provision of homeownership counseling, credit counseling and other services as needed.
- 3) Economic development activities in targeted geographic areas that create job opportunities for low-moderate income persons and/or provide needed services to adjacent low-moderate income neighborhoods.
- 4) Improvements to public facilities and/or public infrastructure in support of targeted housing or economic development activities.
- 5) Provision of public services that support housing and economic revitalization activities in specific targeted geographic areas.

Activities Meeting Basic Needs of Citizens with Greatest Needs

- 1) Housing rehabilitation and development for low-moderate income renters and homeowners with a particular emphasis on the very low income, the elderly, and persons with disabilities.
- 2) Public services in support of low income housing, including housing counseling, assistance to persons with disabilities, assistance to persons with HIV/AIDS, lead-based paint hazard assistance and similar services.
- 3) Provision of emergency shelter, transitional shelter and permanent housing, along with appropriate supportive services at these various levels for the homeless. The HUD mandated Coordinated Assessment System (CAS) which was developed by One Roof (Continuum of Care) and its membership, is being implemented by all funded ESG Subrecipient agencies and mainstream service providers to assist in making rapid, effective and consistent client-to-housing service matches, with emphasis on prioritizing the most vulnerable.
- 4) Provision of infrastructure, improvements, assistance to and/or services to provide economic opportunity for low-moderate income residents, including direct assistance and support to organizations that create opportunities and/or jobs for low-moderate income persons, or to organizations that provide essential services to persons in support of economic development, such as child care for working parents, transportation, etc.

The City has identified the activities undertaken pursuant to each of these affordable housing priorities during the reporting period in Part I (1), Assessment of one-year goals and objectives. The City has generally allocated resources to these affordable housing

priorities in accordance with the priorities and specific objectives identified in the City's PY 2015-2020 Five Year Consolidated Plan.

Also provided in Addendum A is the PY 2015 CDBG Summary of Accomplishments Report which includes the number and types of families served including the number of extremely low-income, low-income, moderate-income, and middle-income persons served during the program year.

SPECIFIC HOUSING OBJECTIVES

1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.

In this narrative, the City will discuss its accomplishments and the accomplishments of other entities in providing affordable housing assistance to very low-income households (0-30% of area median income), low-income households (31%-50% of area median income), moderate income households (does not exceed 80% of area median income), middle-income households (between 80% and 95% of area median income), homeless persons, and persons with other special needs during the reporting period.

In its HUD approved 2015-2020 Consolidated Plan submission, the City identified the provision of affordable housing services as a high priority need to be addressed as a part of the City's five year strategic plan. As can be seen from the attached PY 2015-2020 Consolidated Strategy Five Year Priority Needs Summary Table, the City identified the provision of affordable housing as a high priority for its very low income, low income, middle-income, and homeless residents. The City further identified the type of assistance anticipated to be provided to renters and owners by family size and estimated the number of needed units as well as the estimated dollars to address the identified needs.

In an effort to address the affordable housing needs identified, the City developed affordable housing priorities and objectives, outlined in Executive Summary Section C, that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified the activities undertaken pursuant to each of these affordable housing priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to these affordable housing priorities in accordance with the priorities and specific objectives identified in the City's PY 2015-2020 Consolidated Plan. Although some variations do exist between the number of households/persons actually assisted during the program year and the goals established for households/persons to be assisted in the City's PY 2015-2020 Consolidated Plan, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

Also provided in Addendum A is the PY 2015 CDBG Summary of Accomplishments Report which includes the number and types of families served including the number of extremely low-income, low-income, moderate-income, and middle-income persons served during the program year.

It appears from an examination of the numbers of households and homeless persons assisted by racial and ethnic group as reflected in item 5 of CHAS Annual Performance Report Table 1 (see Addendum), that those numbers are generally consistent in the context of providing affordable housing to very-low and low income households as outlined in the City's PY 2015-2020 Five Year Priority Needs Summary Table. The City committed significant resources to the development of affordable housing during the reporting period and demonstrated progress in meeting its affordable housing priorities for those high priority and medium priority categories identified in the priority needs table.

2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.

In its HUD approved 2015-2020 Consolidated Plan submission, the City identified the provision of affordable housing services as a high priority need to be addressed as a part of the City's five year strategic plan. These priorities are consistent with the provision of affordable housing that meets the Section 215 definition of affordable housing for low income rental and owner households.

As can be seen from the attached PY 2015-2020 Consolidated Strategy Five Year Priority Needs Summary Table, the City identified the provision of affordable housing as a high priority for its very low income, low income, middle-income, and homeless residents. The City further identified the type of assistance anticipated to be provided to renters and owners by family size and estimated the number of needed units as well as the estimated dollars to address the identified needs.

In an effort to address the affordable housing needs identified, the City developed affordable housing priorities and objectives, outlined in Executive Summary Section C, that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified the activities undertaken pursuant to each of these affordable housing priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to these affordable housing priorities in accordance with the priorities and specific objectives identified in the City's PY 2015-2020 Consolidated Plan. Although some variations do exist between the number of households/persons actually assisted during the program year and the goals established for households/persons to be assisted in the City's PY 2015-2020 Consolidated Plan Five Year Strategic Plan, those differences relate to differences in actual available Federal funding as compared to planned Federal funding

levels that were anticipated to be available during the program year and are not considered to be significant variations.

Also provided in Addendum A is the PY 2015 CDBG Summary of Accomplishments Report which includes the number and types of families served including the number of extremely low-income, low-income, moderate-income, and middle-income persons served during the program year.

It appears from an examination of the numbers of housing related households and persons assisted by racial and ethnic group as reflected in Addendum A, that those numbers are generally consistent in the context of providing affordable housing consistent with the Section 215 definition to very-low and low income households as outlined in the City's PY 2015-2020 Five Year Priority Needs Summary Table. The City committed significant resources to the development of affordable housing during the reporting period and demonstrated progress in meeting its affordable housing priorities for those high priority and medium priority categories identified in the priority needs table.

3. Describe efforts to address “worst case” housing needs of persons with disabilities.

The city is committed to addressing the “worst case” housing needs of persons with disabilities. These efforts were undertaken during the program year through two primary programs.

- The Critical Repair Grant Program was funded under the CDBG program in the amount of \$1,515,931.00 to provide rebate grants of eligible rehabilitation expenses not to exceed \$15,000 per low to very low income homeowner. These critical repair grants provided rehabilitation to elderly homeowners who otherwise were in danger losing their home due to necessary repairs that otherwise could not be made.
- Birmingham Independent Living Center (d/b/a Disability Rights and Resources) was funded under the CDBG program in the amount of \$225,000 to provide assistance to qualified disabled residential tenants and disabled homeowners to enable modifications to be made to their residences. The maximum allowable grant was \$3,000 per household.

PUBLIC HOUSING STRATEGY

1. Describe actions taken during the last year to improve public housing and resident initiatives.

Public Housing Improvements:

In this section, the City must describe the Housing Authority of the Birmingham District's (HABD) performance in carrying out actions during the last year that served to:

- a. Improve the management and operation of public housing; and,
- b. Improve the living environment of very low-and other low-income families residing in public housing.

The City, the HABD, and the area HUD office have strived to establish strategies, targets, incentives and sanctions for improving the performance and management of the HABD.

The HABD, during the past year, continued its efforts to meet the management standards established by HUD and continued training and certification workshops for executive directors and other PHA officers and members. Also, the HABD has indicated that fiscal policies and analyses were established on a project based accounting for income and cost. The HABD is presently not designated as "troubled" by HUD or otherwise performing poorly. The HABD has been designated as a "High Performer" under the Public Housing Management Assessment Program. Also, in an effort to improve the living environment of very low-and other low-income families residing in public housing, during the past year, the HABD continued to work to secure additional grant funds from the U.S. Department of Housing and Urban Development.

The City's actions undertaken during the past year compared with those proposed in its PY 2015 One-Year Action Plan are generally consistent. The City plans to continue its efforts to work with the HABD to improve the management and operation of public housing.

Public Housing Resident Initiatives:

In this section, the City must describe its performance in cooperation and coordination with the Housing Authority of the Birmingham District (HABD), to increase the involvement of public housing residents in the management of their public housing, and to provide expanded home ownership opportunities to public housing residents.

The Housing Authority, Birmingham District is a quasi-government agency which operates independently of the City. Its board members are appointed by the City Council. One board position is reserved to be filled by a resident of public housing.

The City is not directly involved in determining management techniques used at the Housing Authority, Birmingham District. However, through its avenue of quarterly meetings with the HABD, issues of public housing were reviewed in an effort to increase

the involvement of public housing residents in management.

In an effort to expand home ownership opportunities for residents of public housing during the past year the City continued to support the HABD in its efforts to participate in available Federal programs. The City's actions during the past year are consistent with those proposed in its PY 2015 One-Year Action Plan.

BARRIERS TO AFFORDABLE HOUSING

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

The City of Birmingham in its HUD approved PY 2015-2020 Five-Year Consolidated Plan, assessed the negative effects of public policies, rules, and regulations impacting upon the availability of affordable housing and set forth a plan to remove or ameliorate their negative effects. It was also noted that such regulations have been enacted for good reason - to protect the Community's health and safety.

It was noted, zoning ordinances, subdivision regulations, building codes, environmental regulations, design and sign ordinances, historic preservation requirements, state/federal health cords, and paperwork procedures all impact upon the availability of affordable housing. In attempting to remove or ameliorate the negative effects of public policies, the City, as described in its PY 2015 One -Year Action Plan included, but was not limited to, the following actions:

- Reviewed paperwork procedures impacting upon the City's adopted housing programs in an effort to streamline existing requirements.
- Continued its review of various City ordinances, codes, and regulations in an effort to reform regulations without the possible sacrifice to health and safety.
- Continued its review of design and sign ordinances which affect the aesthetics of building exteriors.

The actions undertaken by the City during the past year to address negative effects of public policies are generally consistent with those actions proposed in the City's PY 2015 Action Plan. Many factors influence the cost of affordable housing (interest rates, land costs, market pressures, building material costs, weather related disasters and events, etc.) over which local jurisdictions have little influence. However, the City as planned addressed those areas in which some impact could be made.

HOME

1. Assessment of Relationship of HOME Funds to Goals and Objectives

a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.

In its HUD approved PY 2015-2020 Consolidated Plan submission, the City identified the provision of affordable housing services as a high priority need to be addressed as a part of the City's five year strategic plan. As can be seen from the attached PY 2015-2020 Consolidated Strategy Five Year Priority Needs Summary Table, the City identified the provision of affordable housing as a high priority for its very low income, low income, middle-income, and homeless residents. The City further identified the type of assistance anticipated to be provided to renters and owners by family size and estimated the number of needed units as well as the estimated dollars to address the identified needs.

In an effort to address the affordable housing needs identified, the City developed affordable housing priorities and objectives, outlined in Executive Summary Section C, that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified the HOME Program activities undertaken pursuant to each of these affordable housing priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to these affordable housing priorities in accordance with the priorities and specific objectives identified in the City's PY 2015-2020 Consolidated Plan. Although some variations do exist between the number of households/persons actually assisted during the program year and the goals established for households/persons to be assisted in the City's PY 2015-2020 Consolidated Plan, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

Also provided in Addendum A is the PY 2015 CDBG Summary of Accomplishments Report which includes the number and types of families served including the number of extremely low-income, low-income, moderate-income, and middle-income persons served during the program year.

It appears from an examination of the numbers of households and homeless persons assisted by racial and ethnic group as reflected in Addendum A, that those numbers are generally consistent in the context of providing affordable housing to very-low and low income households as outlined in the City's PY 2015-2020 Five Year Priority Needs Summary Table. The City committed significant resources to the development of affordable housing during the reporting period and demonstrated progress in meeting its affordable housing priorities for those high priority and medium priority categories identified in the priority needs table.

2. HOME Match Report:

The City of Birmingham HOME Program received a match reduction. Therefore, no matching dollars was required.

3. HOME MBE and WBE Report:

Part III of HUD Form-4107 to report contracts and subcontracts with Minority Business Enterprises (MBE's) and Women's Business Enterprises (WBE's) is attached.

4. Assessments:

a. Results of on-site inspections of rental housing:

The results of on-site inspections of affordable rental housing assisted under HOME and as assessment of the HOME jurisdictions affirmative marketing actions and outreach to minority and women owned businesses. The results are as follows:

On or about October 1 of each year, the City notifies the multi-family recipients (owner) of the HOME requirement that all tenant income must be verified during the period of affordability. In addition, the owners are informed of the annual inspection of each unit assisted with HOME funds for compliance with the Minimum Housing Standards.

The City assigns a Sr. Housing Rehabilitation Specialist to inspect each multi-family complex noting any deficiencies. The Sr. Housing Rehabilitation Specialist then notifies the owner of any deficiencies and allows a reasonable time period in which to correct the deficiencies. A re-inspection is established to ensure compliance.

b. HOME Jurisdiction's Affirmative Marketing Action:

The City requires all participants and CHDO's participating in the HOME Program to provide the City with an affirmative marketing strategy. The strategy must be in compliance with HOME regulations and of this date, all units receiving HOME funds are occupied by low/mod minority persons and/or families.

The City does not see Affirmative Fair Marketing requirements posing a problem with HOME-funded projects.

c. Outreach to Minority and Women Owned Business:

In the PY 2015 reporting period, three contracts were awarded under the HOME program with a total value of \$1,850,111.00. One minority-owned business was a recipient of funding in the amount of \$300,000.00. No contracts were executed with women-owned businesses. Outreach efforts to Minority and Women Owned businesses under the City's

HOME Program are ongoing in accordance with Subpart III-11(F) of the City's PY 2015 HUD Approved Action Plan.

ANNUAL PERFORMANCE REPORT – HOME PROGRAM
HUD FORM-40107

Part III – Homelessness

HOMELESS NEEDS:

ACTIONS TAKEN TO ADDRESS HOMELESS NEEDS

In its HUD approved PY 2015-2020 Consolidated Plan submission, the City identified the provision of services to the homeless as a high priority need to be addressed as a part of the City's five year strategic plan. In an effort to address the needs of the homeless, the City identified the provision of housing and supportive services for homeless populations and populations with special needs, as outlined in Executive Summary Section C, as priorities that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified Homeless Shelter Support Programs under its CDBG Program and ESG Program activities undertaken pursuant to each of these homeless priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to the needs of homeless person priorities in accordance with the priorities and specific objectives identified in the City's PY 2015-2020 Consolidated Plan. Although some variations do exist between the number of persons actually assisted during the program year and the goals established for these programs, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations. The City relies very heavily on the results of data collection and reporting produced by the HUD mandated Homeless Management and Information System (HMIS) which is coordinated through our local Continuum of Care lead agency, One Roof.

The City has provided in Part I, 1(b) Breakdown of the HUD-CPD, Breakdown of the HUD-CPD formula grant funds, under Priorities 3 and 4 the numbers of persons provided with provided with homeless related services through the CDBG and ESG programs.

It appears from an examination of the numbers of persons assisted, that those numbers are generally consistent in the context of providing services to the homeless. The City committed significant resources to the provision of services to the homeless during the reporting period and demonstrated progress in meeting its homeless and special needs population priorities for those high priority needs. Additionally, the City undertook the following during the past year:

Continuum of Care

To comprehensively address the needs of the homeless, HUD-CPD developed the "Continuum of Care" concept. The Continuum of Care is a holistic approach that integrates the programs and services for the homeless. The Continuum of Care process ensures the

creation of linkages with other housing and community development programs available to participating communities as well as the mainstream social services programs critical to the success of homeless assistance efforts. Through a Coordinated Assessment System (CAS), operated by the Continuum individuals/families seeking Prevention or Re-housing assistance can be assured that available resources existing within the community in such a manner that identifies the most vulnerable, matches clients with maximum services and assistance that will lead to stabilizing the specific housing status (literally homeless or at eminent risk of becoming homeless) and provide a path to appropriate, affordable permanent housing.

The Continuum of Care process is established for all Consolidated Plan jurisdictions and other localities that receive funding under numerous HUD-CPD programs that provide resources to reduce homelessness. The Continuum of Care seeks to provide an overall umbrella for each local plan to reduce homelessness. The City under the CDBG, HOME, and ESG programs direct funds toward the goal of reducing homelessness under its Consolidated Plan and HUD's Continuum of Care strategy.

As outlined in the City's current HUD approved PY 2015-2020 Consolidated Plan and Action Plan, the City has assisted in the development of a Continuum of Care system in Birmingham. This effort is coordinated by One Roof (formerly the Metropolitan Birmingham Services for the Homeless - MBSH) an agency that the City has and presently supports through its Community Development Block Grant Program.

The City has enjoyed an effective and mutually supportive relationship with Birmingham's local Continuum since its inception. One Roof is a coalition of approximately 30 service providers working to meet the needs of the homeless population in the Birmingham area and surrounding areas. The geographic area covered includes Jefferson, Shelby, St. Clair, and Blount Counties. Membership includes the following:

Shelters: Urban Ministries, Pathways, Cooperative Downtown Ministries, Family Connections, First Light, YWCA, Alethia House, Changed Lives Christian Center.

Service Agencies: Community Kitchens, JBS MH/MR Authority, AIDS Alabama, Pathways, Christian Service Mission, Birmingham Health Care, Independent Living Center, Shelby Emergency Assistance, Aletheia House, Jefferson County Housing Authority, Jolene James for NAMI, Urban Ministries.

Municipal Affiliations: City of Birmingham, Shelby County Commission, State of Alabama Veterans Employment.

Faith Based: Christian Service Mission, Highlands United Methodist Church, Urban Ministry, Bridge Ministry

The primary goal of One Roof is to coordinate Birmingham's Continuum of Care system in the homeless service arena, to identify and eliminate gaps in service, develop a community wide service plan, and to assist with the application for funds under the HUD

Super-NOFA published annually.

To date, One Roof has been quite successful in their efforts to obtain funding directly from the U.S. Department of Housing and Urban Development. As a result of the efforts of the One Roof Organizations, grant awards for renewals and one new planning grant totaled \$8,538,807 for 2015. All funding will directly benefit the homeless population of Birmingham.

Summary of Actions Taken To Develop and Implement a Continuum of Care Strategy

The City continues to support and works in partnership with the CoC to implement a Continuum of Care strategy for the homeless, i.e., actions taken to prevent homelessness, to address the emergency shelter and transitional housing needs of homeless individuals and families (including significant Sub-populations such as those living on the streets), to help homeless persons make the transition to permanent housing and independent living.

One Roof is a non-profit organization which the City feels has the ability to represent a broad based coalition of homeless providers in the Birmingham metropolitan area. It is the City's position that to make services to the homeless effective, it is essential to identify and promote a coalition organization that can lead the effort toward managing a comprehensive continuum of care.

The City during the past year continued its efforts to promote One Roof as follows:

- The City continued its support of One Roof as the designated lead coordinating agency regarding the implementation of the City's continuum of care.
- The City provided funding for One Roof to support its staff needs and plan development costs.
- The City supported One Roof in its efforts to designate a community based board to specify the services and facilities needed by the City's homeless population. The board has been designed to represent a broad spectrum of the care continuum, along with a representative from the City, Jefferson County, the United Way, and at large members for the business and religious community.
- The City supported One Roof in its efforts to develop a needs and resource assessment so as to identify available resources to address the existing needs of the homeless population.
- The City continues to function as a key supporter of the Annual Project Homeless Connect activity which brings resources and services together in one day, under one roof providing an array of services geared toward securing permanent housing and hospitality.

Chronic Homelessness

The City has completed its 10-Year Plan to End Chronic Homelessness and has begun its implementation efforts through Project Homeless Connect. A copy of the City's 10-Year

Plan is available on the City's Website at www.birminghamal.gov under the Community Development Department. An Implementation Report summarizing the use of CDBG and ESG funds in addressing the challenges of homelessness and summarizing progress made in implementing the City's 10-Year Plan to End Chronic Homelessness is included as Addendum G of this report.

On any given night, there are over 1,140 homeless individuals in Central Alabama. Project Homeless Connect is the first step in a coordinated campaign to reduce homelessness. The eighth annual Project Homeless Connect Event was held on Saturday, April 11, 2015 from 7:30 a.m. until 3:00 p.m. at the City's Boutwell Auditorium. Over 60 government, business, health and human services and faith-based organizations provided a range of services to Birmingham's homeless population including Medical Care, Legal Assistance, Dental Care, Mental Health Counseling, Benefit and Housing Counseling, Childcare Assistance, Haircuts, hospitality, and a warm lunch. For more information about Project Homeless Connect visit www.uwca.org.

Actions to Help Homeless Persons Make the Transition to Permanent Housing and Independent Living.

To help homeless persons make the transition to permanent housing, the City undertook the following actions.

i. Activities Undertaken:

The City assisted three nonprofit and/or public providers of transitional housing to expand their capacity to more fully accommodate homeless persons (Pathways/Transitional Shelters, YWCA/Interfaith Hospitality House, Pathways/Downtown PATH Center).

ii. Programs/Resources:

The City provided support for the above described activities through the Community Development Block Grant Program and the Emergency Solutions Grant Program, as stated in the PY 2015 One-Year Action Plan as follows:

	<u>Actual</u>	<u>Planned</u>
Pathways Transitional Shelters (CDBG)	\$17,180	\$17,180
Pathways/Downtown PATH Center (ESG)	\$42,635	\$42,635
Pathways	\$42,418	\$42,418
YWCA-Interfaith Hospitality House (CDBG)	\$27,355	\$27,355
YWCA – Interfaith Hospitality House (ESG)	<u>\$33,128</u>	<u>\$33,128</u>
TOTAL:	\$162,716.00	\$162,716.00

iii. Geographic Distribution of Investment:

The investment of the above referenced funds was made on a city-wide basis. The City's

actual performance regarding distribution was consistent with the planned city-wide distribution of funds as described in the PY 2015 One-Year Action Plan.

Identify new Federal resources obtained from Homeless discretionary grants

To date, One Roof has been quite successful in their efforts to obtain funding directly from the U.S. Department of Housing and Urban Development. As a result of the efforts of One Roof organizations, a total of \$8,223,306.00 in grant renewals and a new planning grant were awarded in 2015. All funding will directly benefit the homeless population of Birmingham.

SPECIFIC HOMELESS PREVENTION ELEMENTS

1. Identify actions taken to prevent homelessness.

The City included a number of activities in the 2015 action plan to address individuals and families with children at imminent risk of becoming homeless. Chief among these are continued support for homeless prevention activities under the ESG program in the amount of \$687,100.00 as outlined under ESG Homeless Service Providers in Part I of this report. These funds are intended to assist families that have received eviction notices or notices of termination of utility services from becoming homeless. Also, the City has committed a significant portion of its housing rehabilitation budget for support of the Critical Repair Grant Program in the amount of \$1,668,402.00 as outlined under CDBG Housing for Special Needs Populations in Part I of this report. This program is designed to assist families with critical repairs to their homes such as heating systems, cooling systems, roofing problems, etc., that if not repaired place families in imminent risk of becoming homeless.

EMERGENCY SOLUTIONS GRANTS (ESG)

1. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).

In its HUD approved PY 2015-2020 Consolidated Plan submission, the City identified the provision of emergency shelter and transitional housing services to the homeless as a high priority needs to be addressed as a part of the City's Five Year Strategic Plan. In an effort to address these needs, the City identified the provision of housing and supportive services for homeless populations and populations with special needs, as outlined in Executive Summary Section C, as priorities that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified Homeless Shelter Support Programs under its CDBG Program and ESG Program activities undertaken pursuant to each of these homeless priorities during the reporting period as outlined in Part I of this report under the CDBG and ESG Homeless Service Providers. The City has generally allocated resources to the needs of homeless person priorities in accordance with the priorities and specific objectives identified in the City's PY 2015-2020 Consolidated Plan. Although some variations do exist between the number of persons actually assisted during the program year and the goals established for these programs, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

It appears from an examination of the numbers of persons assisted, that those numbers are generally consistent in the context of providing services to the homeless. The City committed significant resources to the provision of services to the homeless during the

reporting period and demonstrated progress in meeting its homeless and special needs population priorities for those high priority needs.

2. Assessment of Relationship of ESG Funds to Goals and Objectives.

a. Evaluation of progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.

The City undertook a number of actions during PY 2015 with ESG funds to address homeless and homeless prevention goals, objectives, and priorities established in the Consolidated Plan.

The City has addressed the Emergency Shelter Grant section of the Executive Summary of this report the activities undertaken; resources/programs provided for each of the following priorities identified in the City's HUD approved PY 2015-2020 Consolidated Plan. They are as follows:

- Priority Number 3: Provide housing and services for populations with special needs.
- Priority Number 4: Provide housing and supportive services for homeless populations.

Please refer to part I (1(b), breakdown of the HUD-CPD formula grant funds spent on grant activities, of this report for the detailed description of activities undertaken for each of the above referenced priorities relating to addressing the needs of homeless persons.

b. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.

The ESG projects listed in the ESG portion of the Executive Summary relate directly to the priorities outlined in the City's PY 2015-2020 Consolidated Plan and its homeless planning strategy. The City has identified Homeless Shelter Support Programs under its CDBG Program and ESG Program activities undertaken pursuant to each of these homeless priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to the needs of homeless person priorities in accordance with the priorities and specific objectives identified in the City's PY 2015-2020 Consolidated Plan. Although some variations do exist between the number of persons actually assisted during the program year and the goals established for these programs, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

The City has provided in Part I, 1(b) Breakdown of the HUD-CPD, Breakdown of the

HUD-CPD formula grant funds, under Priorities 3 and 4 the numbers of persons provided with provided with homeless related services through the CDBG and ESG programs.

3. Matching Resources:

a. A Description Of The Sources And Amounts of Funds Used To Meet The Match Requirements Of The ESG Program:

Funding under the ESG programs requires that each grant recipient supplement its grant with equal amount of matching funds from other sources. In calculating the match, the following may be used:

1. Value of donated material or building;
2. Value of any lease on a building;
3. Salary paid to staff in carrying-out ESG activities;
4. Volunteer hours for services at \$5.00 per hour or at a rate commensurate with the market.

PY 2015 ESG Grant Amount: \$487,182.00

Match-Volunteer Hours: \$487,182.00

Match documentation for each ESG Sub-grantee is maintained in the Contract file of the Community Development Department.

b. ESG Supplemental Match Provided by the CDBG Program:

**CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT**

**SUPPLEMENTAL MATCH IN SUPPORT OF MCKINNEY-VENTO
PROGRAMS (ESG)**

July 1, 2016

In accordance with the guidance received on January 6, 2009 from June Franklin, HUD-CPD Program Manager, regarding a final rule published in the Federal Register on December 11, 2008, in regard to Matching Requirements in the McKinney-Vento Act Programs, the following supplemental match information is provided. These federal funds have been provided by the City of Birmingham, Alabama in support of homeless housing programs and accordingly meet the matching funds definition as outlined at 576.51 (attached). The following represents the City's 2015-2016 Program Year Supplemental Match Support for ESG activities:

<u>PY 2015</u>	<u>ESG FUNDS</u>	<u>SUB/MATCH</u>	<u>CDBG MATCH</u>
Family Connection	\$65,511.00	\$65,511.00	-0-
Urban Ministry	\$30,969.00	\$30,969.00	\$10,744.00
Pathways/Path Center	\$41,069.00	\$41,069.00	\$42,418.00
Pathways/Transitional	\$48,635.00	\$48,635.00	\$17,180.00
Cooperative DTM	\$33,567.00	\$33,567.00	\$37,059.00
First Light	\$30,543.00	\$33,567.00	\$18,985.00
Bridge Ministries	\$56,344.00	\$56,344.00	\$15,107.00
JCCEO	\$62,250.00	\$62,250.00	-0-
YWCA	\$81,792.00	\$81,792.00	\$69,629.00
Total	\$450,680.00	\$453,704.00	\$211,122.00

4. Method of Distribution:

All Emergency Shelter Grant funding is awarded through a request for proposal process conducted annually as a part of the City's Action Plan Development process. All awards are made by the Mayor as authorized by the Birmingham City Council.

5. Activity and Beneficiary Data:

a. ESG Beneficiary and ESGP Expenditures:

Activity data is collected monthly in the form of monthly progress reports in accordance with ESG Contractual requirements. Beneficiary data is also collected annually as a part of the preparation of the CAPER report and is entered into the Integrated Disbursement and Information System (IDIS) as required by HUD. This information is also contained in the Emergency Shelter Grant section of the Executive Summary. There are no problems to report relative to collecting, reporting, or evaluating the reliability of this information.

The 2015 ESG obligations by type of activity are as follows:

Administration:	\$ 36,538.00 (@7.5%)
Prevention:	\$ 117,695.00
Essential Services:	\$ 292,309.00
Rapid Re-Housing:	\$ 36,740.00
HMIS:	<u>\$ 3,900.00</u>
Total:	<u>\$ 487,182.00</u>

b. Homeless Discharge Coordination:

As a part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster, care or other youth facilities, or corrections institutions or programs. The City is instituting a homeless discharge coordination policy, and assessing how ESG homeless prevention funds are being used in this effort. The City, as a part of its development of a 10-Year Plan to End Chronic Homelessness, to the maximum extent practicable and where appropriate, plans to begin the process of developing policies and protocols for the discharge of persons from publicly funded institutions or systems of care such as health care facilities, foster care or other youth facilities, or correction programs and institutions in order to prevent such discharge from immediately resulting in homelessness for such persons. In so doing, the City recognizes that there are limits to its authority to direct or influence discharge policies of State and Federal Institutions or other such facilities over which it has no authority or control. It is also recognized that ESG funding may not be used to assist such persons in place of state and local resources. Given these restrictions, the City plans to proceed as described.

Part IV – Community Development

1. Assessment of Relationship of CDBG Funds to Goals and Objectives.

ASSESSMENT OF RELATIONSHIP OF CDBG FUNDS TO GOALS AND OBJECTIVES

In its 2015-2020 Consolidated Plan five-year strategic plan, the City identified six priorities for the five-year planning period. These priorities were developed based on need identified in the City's PY 2015-2020 Consolidated Plan and the anticipated programs/resources to meet those identified priorities. CDBG funding was allocated to all six priorities during PY 2015 as outlined in Part I (1) (b) which provides a breakdown of the HUD-CPD formula grant funds spent on grant activities for each goal and objective.

The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment, and the pattern of actual investment compared to planned investment described are generally consistent with planned performance as set out in the City's five year affordable housing priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the units of housing rehabilitated to promote the availability of affordable housing reflected significant effectiveness of performance in the activities undertaken.

b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.

The City identified the following Affordable Housing Priorities in its PY 2015-2020 Five Year Consolidated Plan.

1. Provide decent and affordable housing for low and very low-income households.
2. Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements.
3. Provide housing and services for populations with special needs; Provide housing and supportive services for homeless populations.

The City during PY 2015 undertook a number of programs and activities as described in Part I (1) (b) which provides a breakdown of the HUD-CPD formula grant funds spent on grant activities for each goal and objective to address the five year priorities of promoting affordable housing for low income renters and homeowners, and to provide affordable housing opportunities for first time home buyers. The numbers of persons served are also contained in the PY 2015 CDBG Summary of Accomplishments Report in Addendum A.

The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment, and the pattern of actual investment compared to planned

investment described are generally consistent with planned performance as set out in the City's five year affordable housing priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the units of housing rehabilitated to promote the availability of affordable housing reflected significant effectiveness of performance in the activities undertaken.

c. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.

The Financial Status Report listed in the addendum of this report reflects that \$6,041,834.74 of CDBG funding spent for program activities benefited low-and moderate income persons representing 94.64% of all CDBG funds expended. This is well in excess of the regulatory requirement of 70% that must be expended to benefit extremely low-income, low-income, and moderate-income persons.

2. Changes in Program Objectives.

a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.

The City does not at this time anticipate future actions or changes to its PY 2015-2020 Consolidated Plan proposed to be made as a result of assessing its PY 2015 One-Year Action Plan Performance or experiences.

3. Assessment of Efforts in Carrying Out Planned Actions.

a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.

The City pursued all resources that it indicated it would pursue during PY 2015:

The City identified in Part I of this report the resources made available during PY 2015 within the jurisdiction versus the resources planned to be available during PY 2015. The resources made available during PY 2015 are generally consistent with the resources identified in the City's PY 2015 Action Plan.

b. Indicate how the grantee provided certifications of consistency in a fair and impartial manner.

During PY 2015, the City supported all requested certifications of consistency for HUD programs that it received from non-profit organizations and other entities relating to the City's identified priorities as listed in its Consolidated Plan. Copies of the certifications are on file in the Department of Community Development.

c. The City did not hinder in any way its Consolidated Plan implementation by action or willful inaction.

During PY 2015, the City undertook every reasonable and prudent action to promote the implementation of its PY 2015 Action Plan.

4. For Funds Not Used for National Objectives:

a. Indicate how use of CDBG funds did not meet national objectives.

During the reporting period, all CDBG funds were used exclusively for activities that met the criteria for national objectives as outlined at 24 CFR 570.208 by either benefiting low- and moderate income persons or by aiding in the prevention or elimination of slums or blight.

b. Overall Benefit Certification:

The aggregate use of CDBG funds including Section 108 guaranteed loans during the reporting period principally benefited persons of low and moderate income. The City ensured that at least 70% of the CDBG amounts expended were for activities that benefited such persons. As previously stated, the Financial Status Report, contained in the Addendum, reflects that 94.64% of CDBG funds expended were for activities that benefited low-and moderate income persons.

5. Anti-displacement and Relocation-for activities that involve acquisition, rehabilitation, or demolition of occupied real property.

a. Describe steps actually taken to minimize the amount of displacement resulting for the CDBG assisted activities.

The City did not undertake any specific activities during the reporting period that involved the acquisition, rehabilitation, or demolition of occupied real property.

b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.

As noted above, the City did not undertake any specific activities during the reporting period that involved the acquisition, rehabilitation, or demolition of occupied real property. Accordingly, it was not necessary to identify any households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act.

c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.

Should displacement occur as a result of any CDBG funded activity, the City would provide assistance pursuant to its published Anti-Displacement and Relocation Assistance Plan, copies of which are available for inspection in the City's Community Development Department, Housing Division; 710 North 20th Street Room 700; Birmingham, Al 35203. The timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations would be undertaken in accordance with the Uniform Relocation Act and in accordance with the City's Anti-Displacement and Relocation Assistance Plan.

6. Low/Mod Job Activities. For economic development activities undertaken where jobs were made available but not taken by low-or moderate – income persons.

a. Describe actions taken by the grantee and businesses to ensure first consideration was or will be given to low-mod persons.

The City did not undertake any Economic Development Activities via its Float Loan Program in PY 2015. As a result, there were no activities that involved the creation of new full time equivalent jobs for low-and moderate income persons. When funded, program requirements stipulate that low-and moderate income persons must be give first consideration for new jobs created as a result of these activities and failure to do so constitutes grounds for loan default and immediate repayment of CDBG assistance.

b. List by job title all the permanent jobs created/retained and those that were made available to low/mod persons.

New Jobs Created:

Officials and Managers:	0
Professional:	0
Technicians:	0
Sales:	0
Office and Clerical:	0
Craft Workers (skilled):	0
Operatives (semi-skilled):	0
Laborers (unskilled):	0
Service Workers:	0
Total:	0

Jobs Retained:

Officials and Managers:	0
Professional:	0
Technicians:	0
Sales:	0
Office and Clerical:	0
Craft Workers (skilled):	0

Operatives (semi-skilled):	0
Laborers (unskilled):	0
Service Workers:	0
Total:	0

- c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.**

Borrowers are required as a condition of the financial assistance to provide necessary training, education, etc., necessary to recruit low/mod persons. Failure to do so shall be grounds for loan default and immediate repayment of CDBG assistance.

7. Low/Mod Limited Clientele Activities-for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit.

- a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% for whom are low-and moderate-income.**

Limited Clientele activities are those activities which benefit a limited clientele, at least 51% of whom are low-or moderate-income persons. Activities that exclusively serve a group of persons in any one or a combination of the following categories may be presumed to benefit persons, 51% of whom are low-and moderate income: abused children, battered spouses, elderly persons, adults meeting the Bureau of the Census' Current Population Reports definition of "severely disabled," homeless persons, illiterate adults, persons living with AIDS, and migrant farm workers.

For those activities that the City undertook during the reporting period which served a limited clientele not falling within one of the categories of presumed limited clientele low and moderate income benefit, the City required information on family size and income so that it was evident that at least 51% of the clientele were persons whose family income did not exceed the low and moderate income limit.

8. Program Income Received:

Program income means gross income received by the City or a sub-recipient directly generated from the use of CDBG funds, except as provided at 24 CFR 570.500(a) (4). According to IDIS C04PR26 CDBG Financial Summary for PY 2015, the City received a total of \$911,428.53 in CDBG Program income. This program income includes:

- a. The amount of program income reported that was returned to each individual revolving fund (e.g., housing rehabilitation and economic development)

9. Prior Year Adjustments

NA

10. Loans and Other Receivables

The City has reported on the CDBG Financial Summary Attachment contained in the Addendum the following loans and other receivables which are outstanding as of the end of the reporting period:

- a. There was no outstanding balance for float-funded activity as of the end of the reporting period.
- b. The total number of other loans outstanding and the principal balance owed as of the end of the reporting period (e.g. housing rehabilitation and Section 108).
- c. There were no outstanding loans that were deferred or forgivable, or had a principal balance owed as of the end of the reporting period, and had terms of the deferral or forgiveness.
- d. There were no loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.
- e. List of the parcels of property owned by the grantee or its sub recipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period. There were none for the reporting period, so this information is not included on the referenced CDBG Financial Summary Attachment.

11. Lump Sum agreements

There were no lump sum agreements during the reporting period.

12. Housing Rehabilitation-for each type of rehabilitation program for which projects/units were reported as completed during the program year.

a. Identify the type of program and number of projects/units completed for each program.

b. Provide the total CDBG funds involved in the program.

c. Detail other public and private funds involved in the project.

This information is provided in the Part I, 1(b) Breakdown of the HUD-CPD formula grant funds spent on grant activities under Affordable Housing Priorities 1 and 2. The types of affordable housing programs provided, the numbers of units completed or persons served, and the funds involved are listed. Also provided in Addendum A is the PY 2015 CDBG Summary of Accomplishments Report which includes the number and types of families

served including the number of extremely low-income, low-income, moderate-income, and middle-income persons served during the program year.

13. Neighborhood Revitalization Strategies.

The City does not have a HUD-approved neighborhood revitalization strategy area. The City has received a Federally-designated Enterprise Community (EC) Designation for the West End and Smithfield communities (Census Tracts 29, 30.02, 39, and 40). EC funds are spent in accordance with the EC strategic plan and by ensuring that residents, stakeholders and the public participate in the decision making process regarding resource allocations within the EC. All reports that are required as a part of the EZ/EC process are on file in the City's Community Enterprise Division of the Mayor's Office, 710 North 20th Street-2nd Floor; Birmingham, Al 35203.

ANTI-POVERTY STRATEGY

Actions to Reduce the Number of Households with Incomes below the Poverty Line:

The City continued its efforts to provide affordable housing and other services to households with incomes below the poverty line, as defined by the Office of Management and Budget and revised annually. These households included the homeless, low and very low income families, and possibly low-moderate income families. During the reporting period, 94.64% of the City's CDBG allocation benefited low-, very-low, and low-moderate income households. A detailed listing of the priorities addressed during the reporting period to assist low, very low, and low-moderate income persons is contained in Part I of this report.

The City's efforts to reduce the number of households with incomes below the poverty line included, but was not be limited to, the provision of affordable housing and related services and the provision of human needs services. These activities and efforts included affordable housing for low and very-low income homeowners and renters, child care financial assistance for the working poor and homeless, training and educational assistance instruction, drug prevention training/drug recovery services, emergency food services, home ownership opportunity programs and counseling, fair housing services, legal services, and a continuum of care for the homeless including transitional and emergency shelter services leading to self-sufficiency.

The City continued to make every effort through the programs outlined in Part I of this report to reduce the number of families whose incomes are below the poverty line.

NON-HOMELESS SPECIAL NEEDS

1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).

The City undertook a number of actions during the reporting period as outlined in Part I of this report under Priority # 3 “Provide Housing and Services for Populations with Special Needs” to address the needs of persons that are not homeless but require supportive housing, including persons with HIV/AIDS and their families. These actions include the following:

The City supported applications submitted by local nonprofit organizations to increase the availability of housing for people with AIDS through the HOPWA program. The City's efforts to increase the availability of housing for people with AIDS was consistent with its planned goals to assist one local nonprofit organization as stated in the PY 2015 One-Year Action Plan. A detailed description of the HOPWA Program and the specific goals and objectives is contained in the HOPWA Program Section of this report.

Additionally, the City supported through ESG, programs to prevent homelessness particularly for those persons who are not literally homeless but are at eminent risk of becoming homeless. Chief among these are continued support for homeless prevention activities under the ESG program in the amount of \$521,640 as outlined in the Emergency Shelter Grant Program section of the Executive Summary. These funds are intended to assist families that have received eviction notices or notices of termination of utility services from becoming homeless.

Also, the City has committed a significant portion of its housing rehabilitation budget for support of the Critical Repair Grant Program in the amount of \$1,668,402.00 as outlined in Part I of this report under CDBG Rehabilitation Activities. This program is designed to assist families with critical repairs to their homes such as heating systems, cooling systems, roofing problems, etc., that if not repaired place families at imminent risk of becoming homeless.

HOPWA OBJECTIVES

This portion of the CAPER report has been prepared for the City of Birmingham by AIDS Alabama, Inc. The specific HOPWA objectives have been addressed in the HOPWA CAPER Report which is contained in Addendum E. Generally, HOPWA funds were utilized in the following areas: Rental Assistance, Supportive Services, Operating Costs, Rehabilitation/Acquisition, & Resource Identification as outlined in the HOPWA Executive Summary of this report.

Part V – Other Narrative

PUBLIC PARTICIPATION REQUIREMENTS

a. INTRODUCTION:

It is important that the reports provided to citizens, community groups, and local political leaders present a clear and complete statement of what has been accomplished not only to HUD, but also to citizens, community groups, and local political leaders. Before submitting the PY 2015 Consolidated Annual Performance and Evaluation Report (CAPER) to HUD, the City must make the report available to the public for examination and comment for a period of at least 15 days. A copy of the performance information data available to HUD, including the summary of public comments received as a result of the public participation process, must be available for examination by the public upon request.

b. PUBLIC NOTICE PUBLICATION MAKING PY 2015 CAPER AVAILABLE FOR PUBLIC INSPECTION FOR AT LEAST 15 DAYS:

The following publication was published in local newspapers and made available via the City of Birmingham's website. Copies were also made available for inspection in the City's Community Development Department.

CITY OF BIRMINGHAM, ALABAMA DEPARTMENT OF COMMUNITY DEVELOPMENT PUBLIC NOTICE

In accordance with 24 CFR 91.105(d) and 91.520 the City of Birmingham, Alabama (hereinafter referred to as "the City") has prepared its Program Year (PY) 2015 Proposed Consolidated Annual Performance and Evaluation Report (CAPER) for the period July 1, 2015 through June 30, 2016.

The Consolidated Annual Performance and Evaluation Report includes a summary of programmatic accomplishments and an assessment of progress toward the priorities identified in the City's U.S. Department of Housing & Urban Development (HUD) PY 2015-2020 approved five year strategic plan and PY 2015 Action Plan.

Citizens, public agencies, and other interested parties are invited to submit written comments regarding the CAPER. Copies of the report are available for inspection online at www.birminghamal.gov or at the address listed below between the hours of 8:00 a.m. and 5:00 p.m., Monday through Friday, except legal holidays. The City encourages citizens to review and provide written comments on the report. All comments should be submitted in writing **on or before Monday, September 19, 2016 by 4:00 p.m. to the following address:**

**John G. Colón, Director
Community Development Department
City of Birmingham, Alabama**

**710 North 20th Street, Room 1000
Birmingham, AL 35203**

The City will consider all timely written views and comments received in developing its Final PY 2015 Consolidated Annual Performance and Evaluation Report.

Date of Publication

Birmingham News - September 2, 2016

Birmingham Times - September 1, 2016

Community Development Department Website Posting: www.birminghamal.gov

Addendum

ADDENDUM - A
PY 2015 SUMMARY OF ACCOMPLISHMENTS REPORT

ADDENDUM - B
PY 2015-2020 CONSOLIDATED PLAN PRIORITY NEEDS
SUMMARY TABLES

The attached tables are from the Strategic Plan, Section 4, pages 5 thru 7 contained in the City' HUD approved PY 2015-2020 Consolidated Plan and are included herein for reference purposes.

Table – Priority Needs Summary

1	Priority Need Name	Affordable Housing Supply and Quality
	Priority Level	High
	Population	Extremely Low Low Moderate Large Families Families with Children Chronic Homelessness Families with Children Chronic Substance Abuse Persons with HIV/AIDS Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with HIV/AIDS and their Families Victims of Domestic Violence
	Geographic Areas Affected	Birmingham
	Associated Goals	Affordable Housing Supply and Quality

	Description	Approximately 90 percent of HOME funds and 59 percent of CDBG funding will be utilized for affordable housing development and rehabilitation. This includes Acquisition/Rehabilitation, rehabilitation of substandard, and new construction of multi-family housing units, single family rehabilitation programs, and targeted housing rehabilitation of homes with persons and families with special needs.
	Basis for Relative Priority	As mentioned in the Needs Assessment Section, 57.4 percent of Birmingham households are considered "low-moderate income" per HUD definitions. Within that low-moderate income population, an estimated 13,580 rental households and 7,010 homeowners live in HUD defined substandard housing (lacking complete plumbing or kitchen facilities, and/or overcrowding). There is a strong need for housing rehabilitation and more affordable housing options in the City.
2	Priority Need Name	Services and Housing for Homeless Population
	Priority Level	High
	Population	Extremely Low Low Moderate Large Families Families with Children Elderly Chronic Homelessness Individuals Families with Children Mentally Ill Unaccompanied Youth
	Geographic Areas Affected	Birmingham
	Associated Goals	Homelessness Prevention and Rapid Re-housing

	Description	Approximately 92.5 percent of the ESG funds will be used for Rapid Re-Housing and Homeless Prevention activities. The remainder (up to 7.5%) will be used to fund the administration of the program.
	Basis for Relative Priority	On any given night, there are over 2,500 homeless individuals in Central Alabama, and the CoC estimates that in 2014 a total of 1,329 persons were considered homeless in Birmingham. Condition of housing of shelters/facilities do not meet the needs of the homeless persons due to the volume of homelessness. Agencies are looking for finance to help and support with building user-friendly facilities; homeless individuals need modern facilities. ESG funds are expected to be allocated to these activities during the period of the consolidated plan.
3	Priority Need Name	Promotion of Jobs and Business Creation
	Priority Level	High
	Population	Extremely Low Low Moderate
	Geographic Areas Affected	Birmingham
	Associated Goals	Economic Development and Commercial Revitalization
	Description	CDBG funds will be used for the provision of technical assistance to public or nonprofit entities to increase the capacity of such entities to carry out eligible neighborhood revitalization or economic development activities. Commercial development efforts initiated in the Historic Fourth Avenue Business District through technical assistance to established business and those wishing to locate within the area.

	Basis for Relative Priority	Employment in the City of Birmingham declined during the 2000–2010 decade in contrast to growth in the Birmingham region as a whole as well as the state and the nation. While all of these areas have lost jobs since the recession of 2008-2009, Birmingham’s decline has been more severe. The Regional Planning Commission of Greater Birmingham manages the Comprehensive Economic Development Strategy (CEDS) for the region. The strategy allows the RPCGB to identify and implement the projects necessary for economic development and to best leverage City and federal funds. Federal funds are expected to be allocated to these resources during the period of the consolidated plan.
4	Priority Need Name	Development and Implementation of Public Services
	Priority Level	High
	Population	Extremely Low Low Moderate Large Families Families with Children Elderly Chronic Homelessness Chronic Substance Abuse Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence
	Geographic Areas Affected	Birmingham
	Associated Goals	Improve Public Services

	Description	CDBG funds will be used for public facility improvements and public services. Approximately 13 percent of CDBG funding available each year will be used for the provision of public services (including labor, supplies, and materials) including, but not limited to those concerned with employment, crime prevention, child care, health, drug abuse, education, emergency food assistance, fair housing counseling, energy conservation, welfare (but excluding the provision of income payments identified under 570.207(b)(4)), homebuyer down payment assistance, or recreational needs. The amount of CDBG funds used for public services shall not exceed 15 percent of each grant plus 15 percent of program income received during the grantee's immediately preceding program year.
	Basis for Relative Priority	Federal funds are expected to be allocated to these resources during the period of the consolidated plan.
5	Priority Need Name	Housing and Services for Special Needs Populations
	Priority Level	High
	Population	Extremely Low Low Moderate Chronic Homelessness Individuals Persons with HIV/AIDS Persons with HIV/AIDS and their Families
	Geographic Areas Affected	Birmingham
	Associated Goals	HOPWA and Non-homeless Special Needs Services

	Description	AIDS Alabama, Inc. will serve as the City's sponsor of HOPWA Entitlement Funds per HUD's recommendation. HOPWA Activities are as follows: rental assistance, supportive services, operating costs, resource identification, project sponsor administration, and City administration.
	Basis for Relative Priority	<p>According to the State of Alabama HIV Surveillance 2013 Annual Report published February 25, 2015:</p> <p><i>"During 2013, almost 60% of all newly diagnosed and prevalent HIV cases resided in Public Health Areas (PHAs) 4, 8, and 11, where the cities of Birmingham, Montgomery, and Mobile are located."</i></p> <p>Rising infection rates coupled with inadequate funding, infrastructures, and resources have resulted in a grave situation in the public health care systems of the South, and Alabama is no exception. The impact of HIV/AIDS on the most vulnerable populations continues to intensify the challenges of 1) reducing new infections; 2) identifying infections as early as possible; and 3) providing adequate care, treatment, and housing. Adversities such as these are the reason that AIDS Alabama is devoted to supporting the City's and State's HIV/AIDS community and the most at-risk populations through housing, supportive services, advocacy, testing, and education. Federal funds are expected to be allocated to these resources during the period of the consolidated plan.</p>

ADDENDUM - C
MAPS/RELATED DATA

ADDENDUM - D
CDBG FINANCIAL SUMMARY AND ATTACHMENT

ADDENDUM - E
HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS
(HOPWA) CAPER REPORT

This portion of the report was prepared by AIDS Alabama Inc., as the City's sponsor of HOPWA funding.

ADDENDUM - F
10-YEAR PLAN TO END CHRONIC HOMELESSNESS

CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT

**USE OF CDBG& ESG FUNDS IN ADDRESSING THE CHALLENGES OF
HOMELESSNESS
AND IMPLEMENTATION OF THE CITY’S 10 YEAR PLAN TO END
CHRONIC HOMELESSNESS**

**IMPLEMENTATION REPORT-TEN YEAR PLAN TO END CHRONIC
HOMELESSNESS SUBMITTED AS PART OF THE CITY PY 2013 CAPER**

Introduction:

In accordance with Notice CPD-03-14 “Using CDBG Funds in Addressing the Challenges of Homelessness” and in accordance with HUD’s and the City of Birmingham’s established goal of ending chronic homelessness by the year 2017, the following is intended as a summary of CDBG funds utilized to support this effort.

Historically, the City of Birmingham has used its CDBG funds in conjunction with other Federal Programs such as the Emergency Solutions Grant Program funded under the McKinney-Vento Homeless Assistance Act, to undertake innovative initiatives that help the City meet the needs of its homeless population. This policy is well grounded in HUD Regulation and Policy and is consistent with the City’s HUD Approved Consolidated Plan and its 2007-2017 Ten-Year Plan to End Chronic Homelessness, copies of which are posted on the Community Development Webpage at www.birminghamal.gov. The City’s Ten-Year Plan to End Chronic Homelessness in Birmingham is an expression of the City’s commitment to actively seek long-term and sustainable solutions to end chronic homelessness rather than simply managing it.

As noted in the City’s Ten Year Plan, solving the complex conditions that lead to chronic homelessness requires a community effort and to meet the needs of homeless individuals, particularly the chronically homeless. It is a problem that government alone cannot solve.

The following is a summary of HUD funding committed by the City of Birmingham since the City’s 2007 adoption of the Ten Year Plan in an effort to move forward with implementation and to address the needs of homeless families and individuals in Birmingham.

Homeless Overview:

The recorded results below of the One Roof, Continuum of Care Point –In-Time Homeless Population Counts spanning the period of 2005-2015 supports steady unfolding

trend that points to an overall drop in this vulnerable community of our most needy citizens. Since 2005, the City is encouraged to note that the number of homeless individuals has decreased by nearly 55%. Of the 1,138 men, women and children who experience homelessness on any given night, some 21% are the unsheltered, representing the chronically homeless. This sub-population represents the severely mentally ill, disabled, veterans, persons with HIV/AIDS, chronic substance abusers and those fleeing domestic violence. They are the most challenging to serve and their housing and support needs are complex as well, thus requiring more intense case management and time-enriched wrap-around housing options and services.

Homeless Trends + Point-In-Time Counts: (Estimates)

	Total Homeless Population	Unsheltered
2005	2,428	775 (32%)
2007	2,104	920 (44%)
2009	2,273	1,204 (53%)
2011	1,950	926 (49%)
2013	1,469	509 (35%)
2015	1,138	236 (21%)

Significant challenges remain:

- Beds for homeless men
- All non-profits are struggling with funding issues due to the economy and other factors.
- All need more government, corporate, foundation & faith-based support.

Community Development Activities Included in 2007-2010 HUD Approved Action Plans To Address the Challenges of Homelessness:

The City receives an annual Entitlement from the Community Development Block Grant Program (CDBG) and Emergency Shelter Grant Programs (ESG) that are allocated to Cities by formula. The funding amount varies from year to year depending upon Congressional appropriation of funds and other formula related considerations. The following summary of efforts undertaken during the 2015-2016 Program Year in response to the above referenced trending developments an effort to better serve City's homeless population by providing support that will most likely lead to achieving appropriate permanent housing outcomes for successful long-term stability.

The City's HUD Approved Program Year (PY) is from July 1 through June 30 of each year. The activities funded in support of the City's Ten Year Plan to End Chronic Homeless during the 2015-2016 Program Year are as follows:

Aletheia House, Inc.:

135 Finley Avenue, West
P.O. Box 1514
Birmingham, Al 35261

Provision of substance abuse treatment, employment readiness training, employment placement assistance, transportation to work, housing, meals, case management and other supportive services for homeless addicted men.

Funding Summary follows:

CDBG: \$36,573.00- PY2015

Total HUD Funded Support: \$36,573.00

Bridge Ministries:

1016 19th Street South
Birmingham, Al 35205

Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services. Also includes support for shelter services including medications, medical supplies, dental, medical, or vision clinic fees for the homeless.

Funding Summary follows:

CDBG: \$15,107.00- PY 2015

ESG: \$49,954.00-PY 2015

ESG: \$5,740.00- PY 2015

ESG: \$650.00- PY 2015

Total HUD Funded Support: \$71,451.00

Changed Lives Christian Center, Inc.:

1308 26th Avenue, North
Birmingham, Al 35204

Homeless shelter for men providing food and shelter. It is anticipated this facility will provide up to 144 beds when fully operational. This activity began in 2010.

Funding Summary follows:

CDBG: \$40,754.00 – PY 2015

Total HUD Funded Support: \$40,754.00

Cooperative Downtown Ministries, Inc.:

1501 3rd Avenue, North
Birmingham, Al 35203

Provision of a homeless shelter for men in the Old Firehouse Shelter on 3rd Avenue North. Program provides for shelter, 3 daily meals, clothing, showers, counseling, and other supportive services.

Funding Summary follows:

CDBG: \$37,059.00- PY 2015

ESG: \$33,567.00 -PY 2015

Total HUD Funded Support: \$564,083.00

Family Connection:

1323 7th Avenue, North
Birmingham, Al 35203

Provision of essential services and operation expenses to support the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham. The program serves young persons who are essentially homeless and on the streets without support. The program is funded through the Emergency Shelter Grant Program and is supported indirectly through the Community Development Block Grant Program Administrative Fund to provide coordination and staff support.

Funding Summary follows:

ESG: \$23,927.00 PY' 2015

ESG: \$41,548.00 PY'2015

Total HUD Funded Support: \$65,475.00

First Light, Inc.:

2230 Fourth Avenue, North
Birmingham, Al 35203

Provision of an emergency shelter for homeless women and their children including the provision of food, clothing, life skills training, case management, counseling, day care,

school placement, and after school child care.

Funding Summary follows:

CDBG: \$18,985.00- PY 2015

ESG: \$30,543.00-PY 2015

Total HUD Funded Support: \$49,528.00

JCCEO

300 8th Ave. W

Birmingham, AL 35204

ESG: 45,000.00 PY'2015

ESG: \$15,000.00 PY 2015

ESG: \$2,250.00 PY 2015

Total HUD Funded Support: \$62,250.00

New Pilgrim Bread of Life Ministries:

708 Goldwire Place SW

Birmingham, Al 35211

Agency currently provides of food to the homeless and low-income persons.

Funding Summary follows:

CDBG: \$18,488.00- PY 2015

Total HUD Funded Support: \$18,488.00

Pathways/Downtown Path Center:

409 Richard Arrington, Jr. Blvd., North

Birmingham, Al 35203

Provision of housing for homeless individuals as a part of a transitional shelter program. Also provides support services for homeless women at day shelter facilities including counseling, job readiness skills, and life management skills.

Funding Summary follows:

CDBG: \$42,418.00- PY 2015

ESG: \$41,069.00- PY 2015

Total HUD Funded Support: \$83,487.00

Pathways/Transitional Shelters:

409 Richard Arrington, Jr. Blvd., North
Birmingham, Al 35203

Provision of housing for homeless individuals as a part of a transitional shelter program. Also provides support services for homeless women including counseling, job readiness skills, life management skills, food, clothing, case management, counseling, day care, school placement, and after school child care.

Funding Summary follows:

CDBG: \$17,180.00- PY 2015

ESG: \$42,635.00- PY 2015

ESG: 6,000.00- PY 20215

Total HUD Funded Support: \$65,815.00

Urban Ministry, Inc.:

1229 Cotton Avenue, SW
Birmingham, Al 35211

Provision of essential services, homeless prevention, and operation expenses food, shelter, and medicine to homeless persons through its Community Kitchen and Emergency Care programs. The program also assists families that have received eviction notices or notices of termination of utility services.

Funding Summary follows:

CDBG: \$10,774.00- PY 2015

ESG: \$10,000.00- PY 2015

ESG: \$10,228.00- PY 2015

Total HUD Funded Support: \$31,102.00

YWCA/INTERFAITH HOSPITALITY HOUSE TRANSITIONAL SHELTER:

309 North 23rd Street
Birmingham, Al 35203

Program was previously administered by Interfaith Hospitality House when they ceased

operations in 2007. Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care.

Funding Summary follows:

CDBG: \$27,355.00- PY 2015

ESG: \$7,000.00- PY 2015

Total HUD Funded Support: \$34,355.00

YWCA HOMELESS PROGRAMS AND CHILD TRANSPORTATION SERVICES

309 North 23rd Street

Birmingham, Al 35203

Provision of transitional housing for homeless women and their children including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care. Also includes emergency shelter for homeless victims of domestic violence and their children. Also includes transportation for homeless children residing in local shelters to day care services at eh YWCA.

Funding Summary follows:

CDBG; \$11,309.00- PY'2015

CDBG: \$30,965.00- PY 2015

ESG: \$35,664.00- PY 2015

ESG: \$33,128.00- PY 2015

Total HUD Funded Support: \$111,066

TOTAL HUD ACTION PLAN FUNDING FOR PY 2015 TO ADDRESS THE CHALLENGES OF HOMELESSNESS: \$794,149.00

ADDENDUM - G
PY 2015 ESG CAPER SUBRECIPIENT REPORT